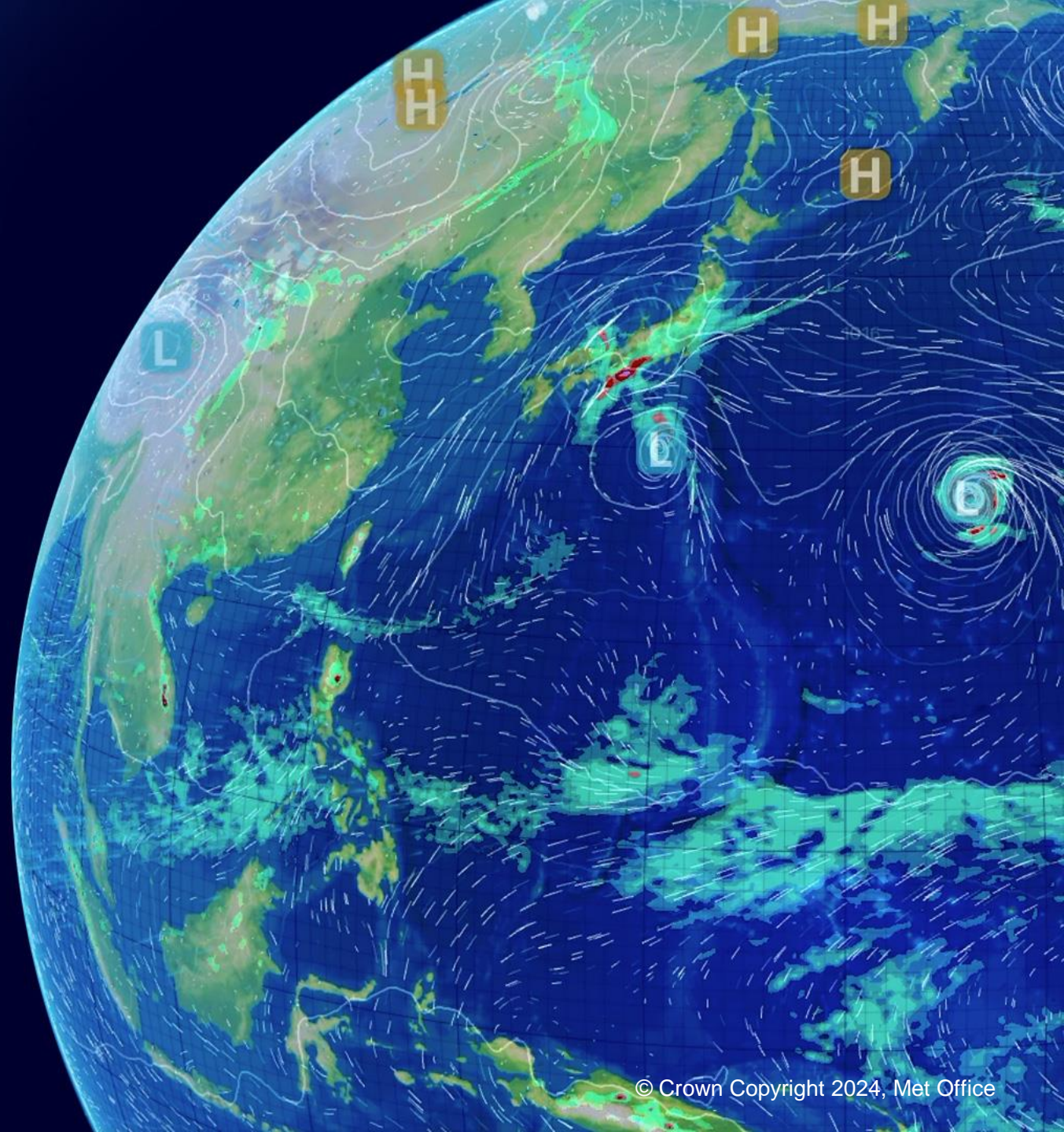


# Improving the assimilation of surface-based observations in the Met Office: current success and future challenges

J. A. Waller, L. D. Hawkness-Smith,  
D. Simonin, C. Thomas

7<sup>th</sup> May 2024



# Overview

- Illustrate how DA is used in practice

- Illustrate how DA is used in practice
- Show its proven benefits ...

Figure: A measure of forecast skill at three-, five-, seven- and ten-day ranges, computed over the extra-tropical northern and southern hemispheres.

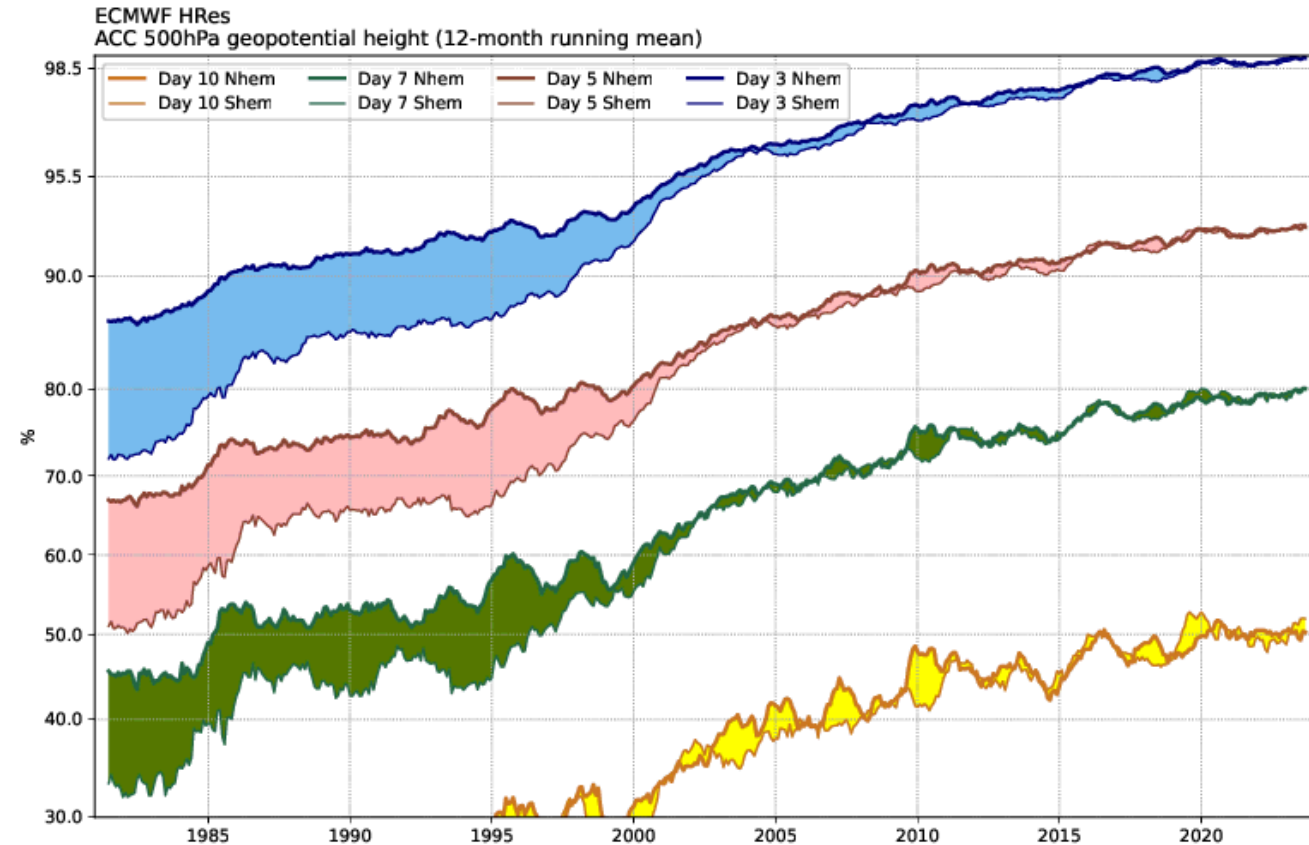


Figure from [https://charts.ecmwf.int/products/plwww\\_m\\_hr\\_ccaf\\_adrian\\_ts?single\\_product=latest](https://charts.ecmwf.int/products/plwww_m_hr_ccaf_adrian_ts?single_product=latest)

- Illustrate how DA is used in practice
- Show its proven benefits ...
- and some of the challenges

## What challenges do you think exist?

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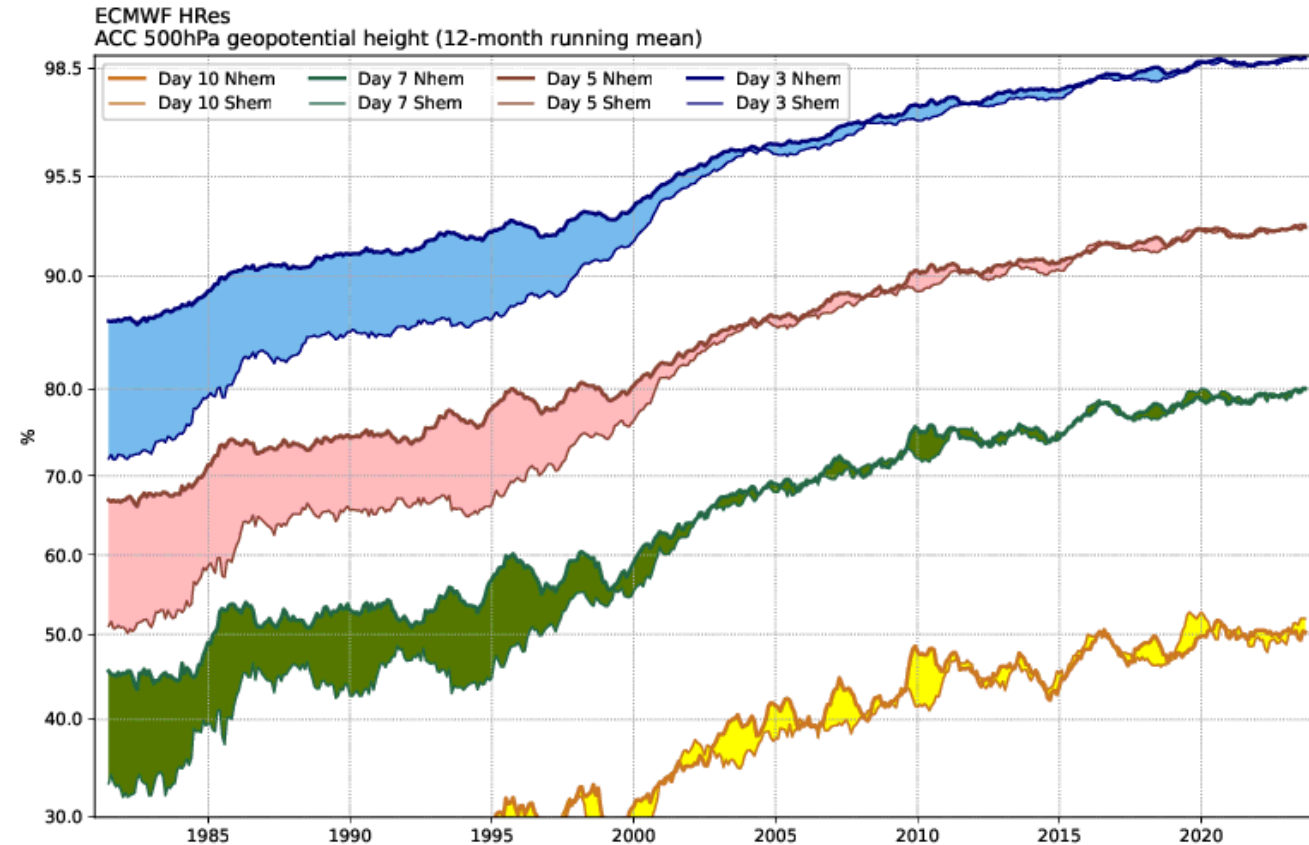


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- Illustrate how DA is used in practice
- Show its proven benefits ...
- and some of the challenges

## What challenges do you think exist?

**Novel observations**      **Affordability**

**Coupled systems**      **Multiscale**      **Non-Gaussianity**

**Error representation**      **Non-linearity**

**Efficiency**      **Observation usage**      **Data volume**

**Machine learning**      **Scalability**

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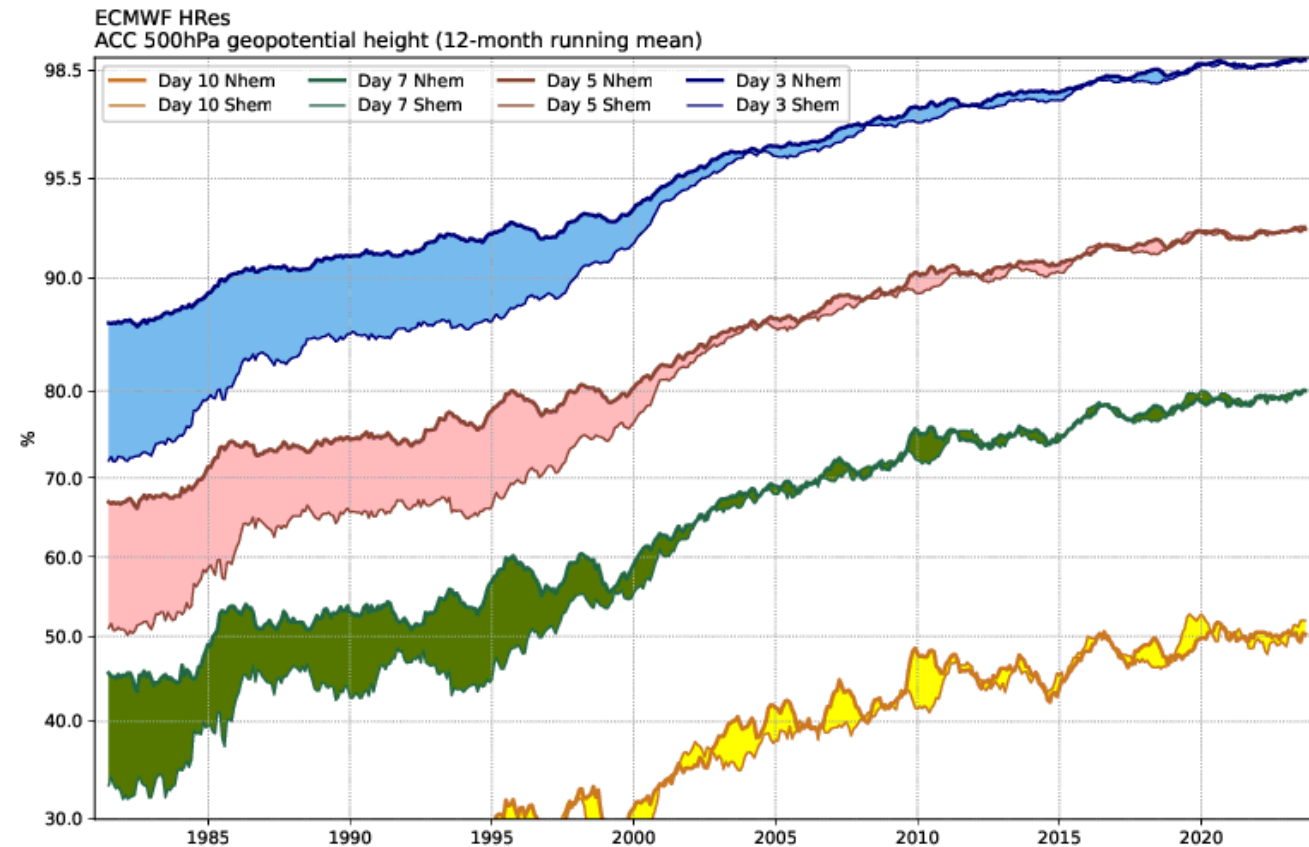


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- Introduction
- Next Generation Processing and Assimilation of Observations
- Surface based observations
- Novel Observations
  - Radiosonde descents
  - Radar assimilation - Direct assimilation of reflectivity
- Observation usage
  - Roadside observations
  - Radar assimilation - Correlated observation error statistics
- Summary

# Introduction



## Model

- Unified Model used for prediction across a range of timescales.
- Dynamical core solves the compressible non-hydrostatic equations of motion.
- Sub-grid scale processes represented by parameterizations.

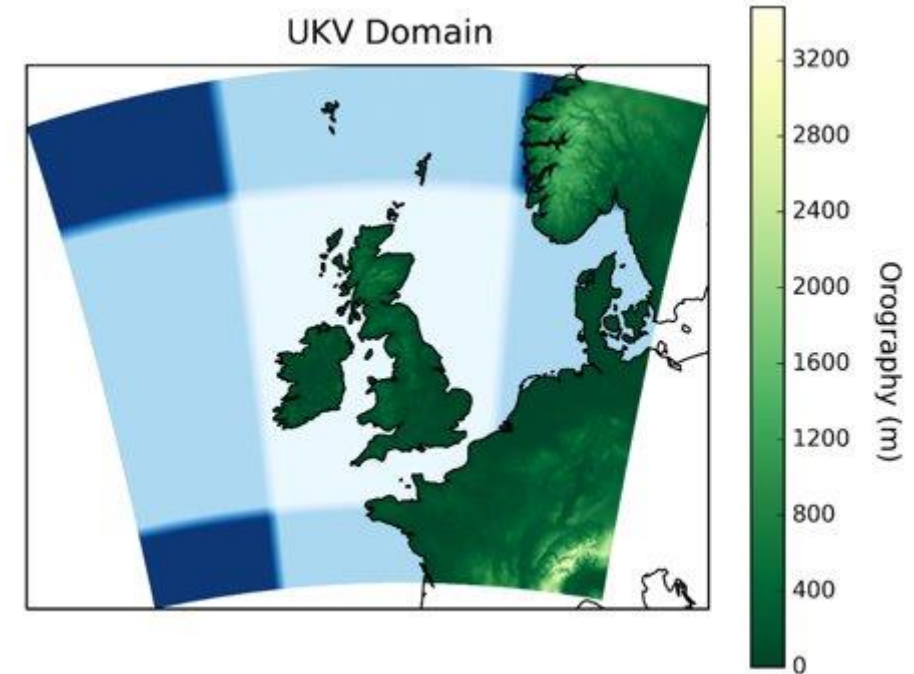
## Deterministic Global NWP

- 10 km resolution
- 70 vertical levels - the model top has an altitude of 80 km
- Forecasts t+168

## UKV NWP

- Variable resolution 4km (outer region) to 1.5km (inner region)
- 70 vertical levels - the model top has an altitude of 40 km
- Lateral boundary conditions are updated every 6 hours from the Global deterministic model.
- Forecasts to t+120 hrs (2/day), t+54 (6/day) and t+12 (16/day)

Met-Office Regional Domain



## Model

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Approximately 350 million grid points

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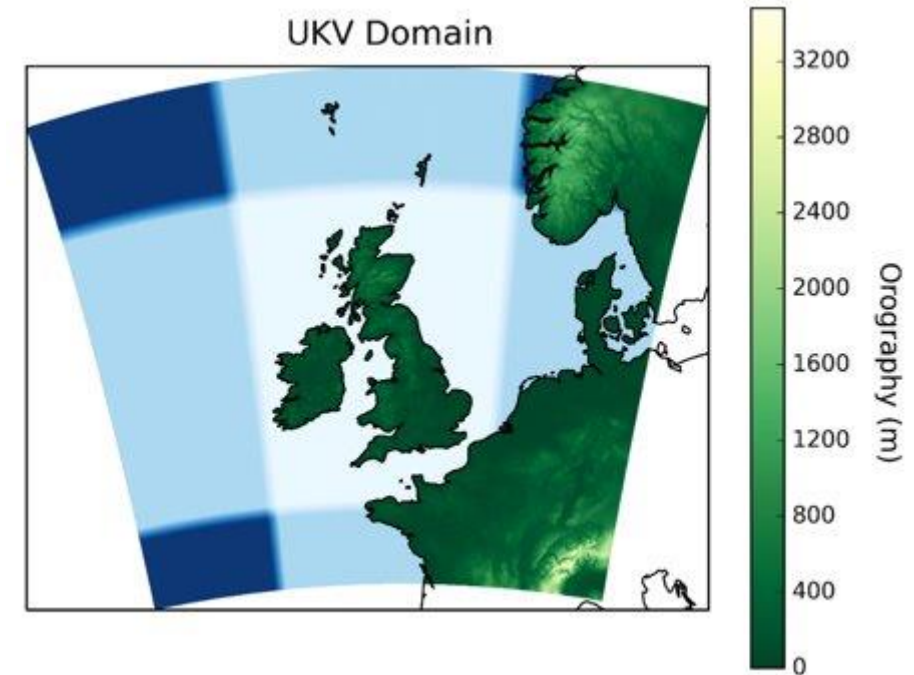
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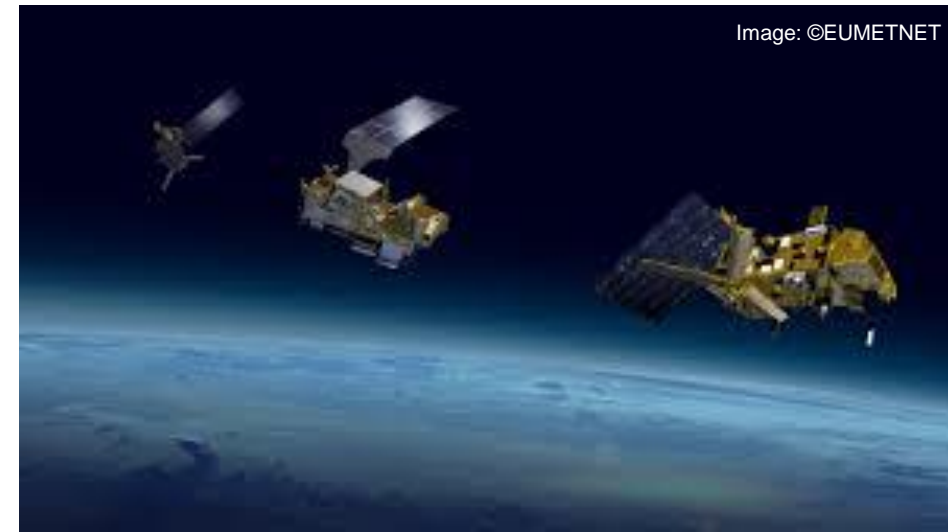
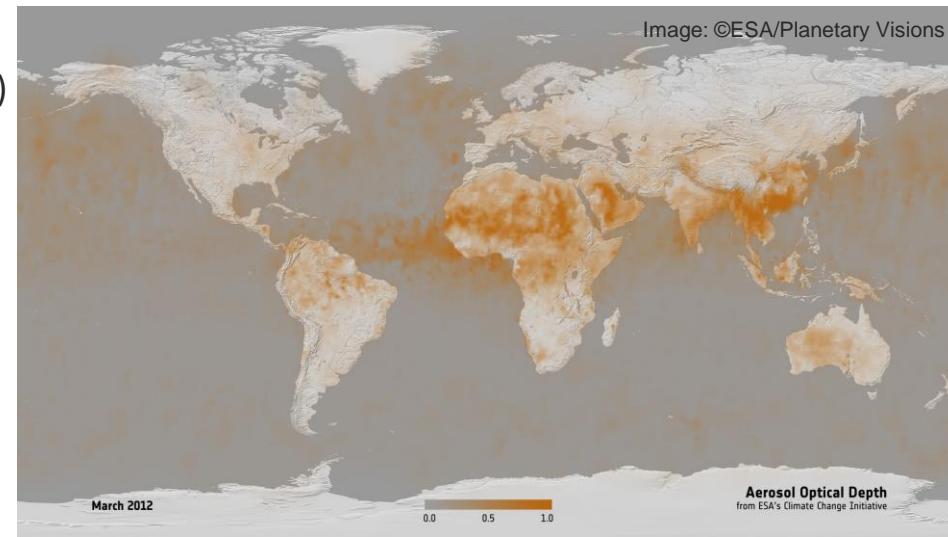
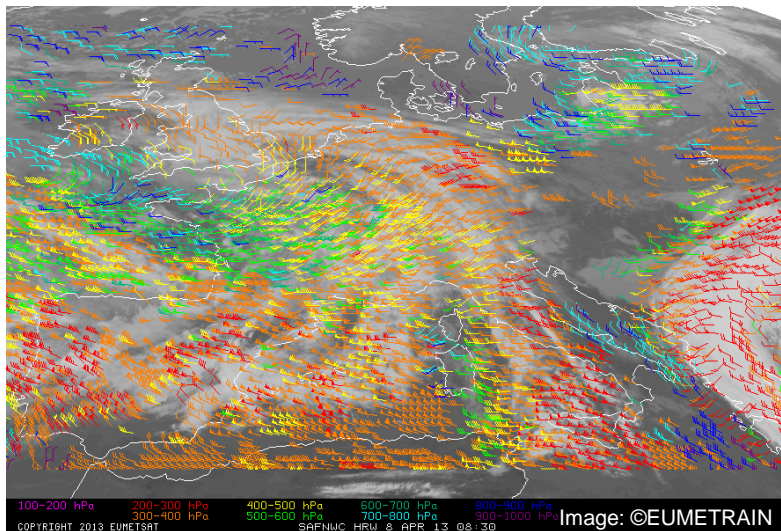


Image: ©EUMETNET

## 21 atmospheric observation types

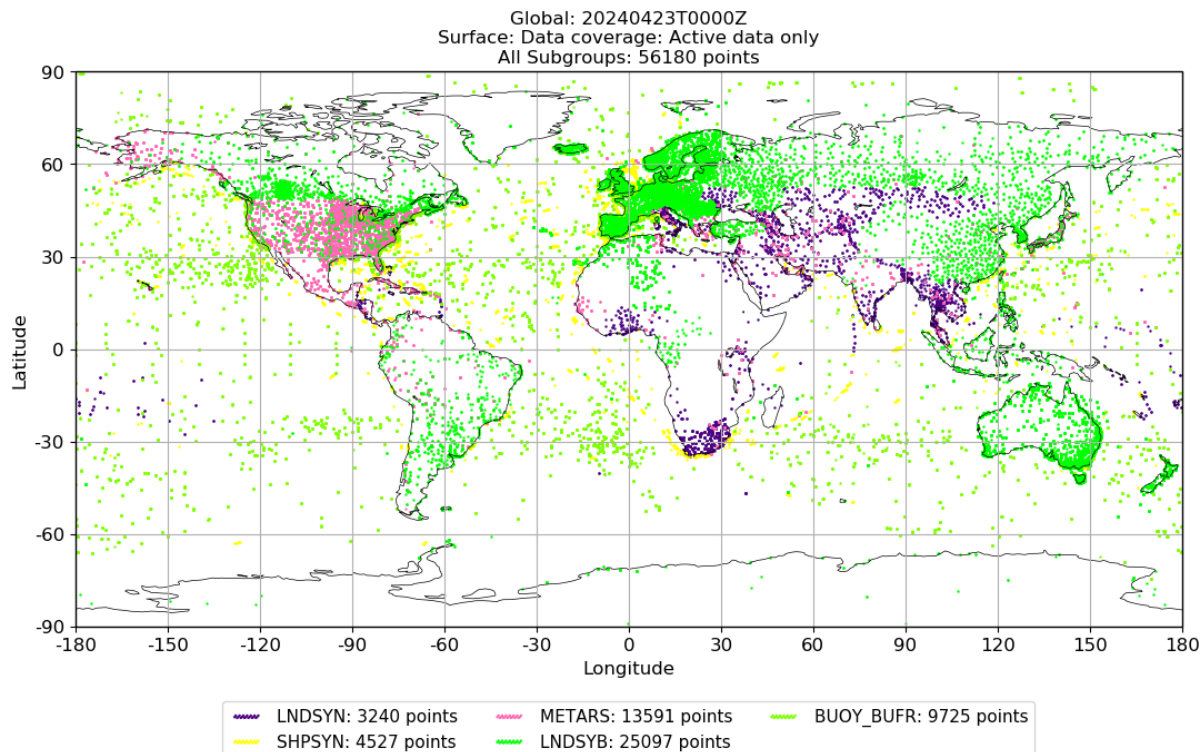
- Satellite radiances
- Satellite winds and active sensors
- Conventional and radar data
- Level 2 products (cloud, aerosol optical depth)



## 21 atmospheric observation types

Each provides different coverage and information on a variety of variables:

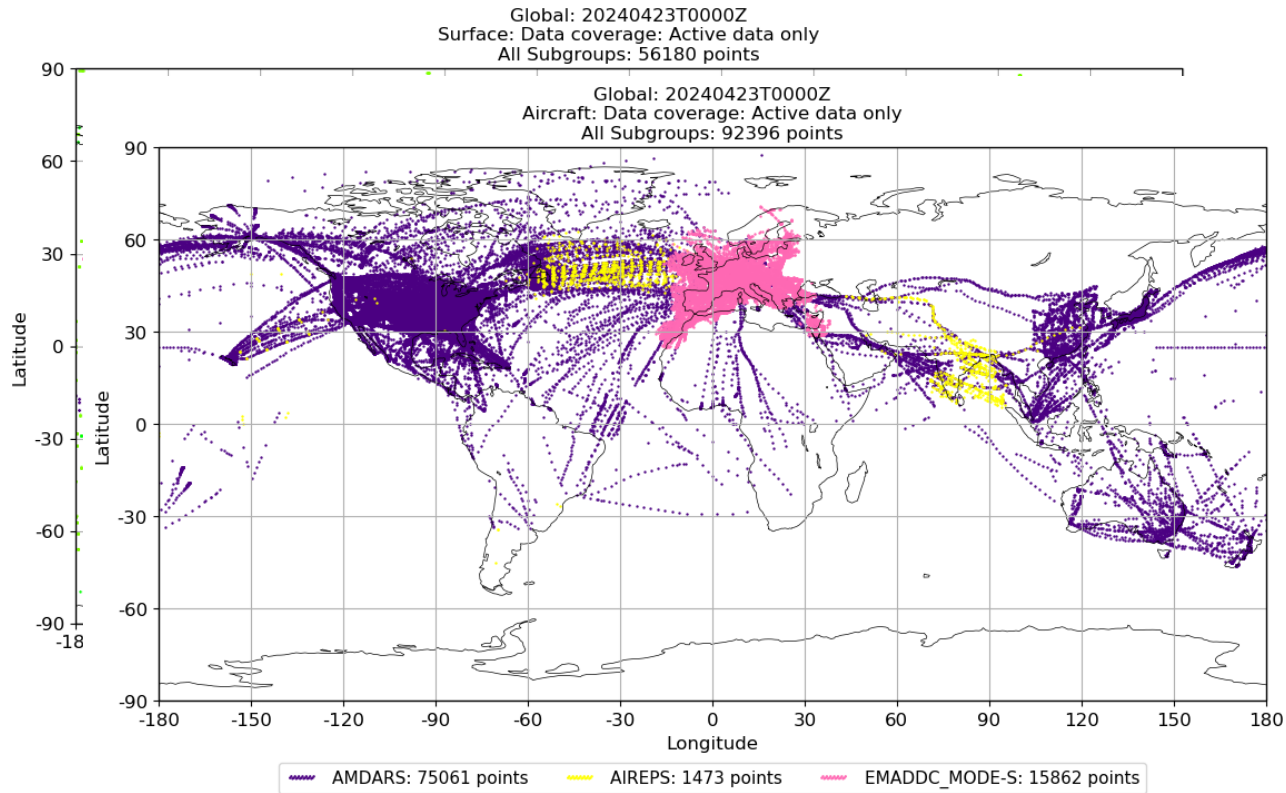
- Temperature
- Humidity
- Wind
- Cloud-top height and amount
- AOD
- TCWV
- Sea surface temperature
- Sea ice
- Snow cover
- Soil moisture
- Surface pressure
- Visibility
- Precipitation



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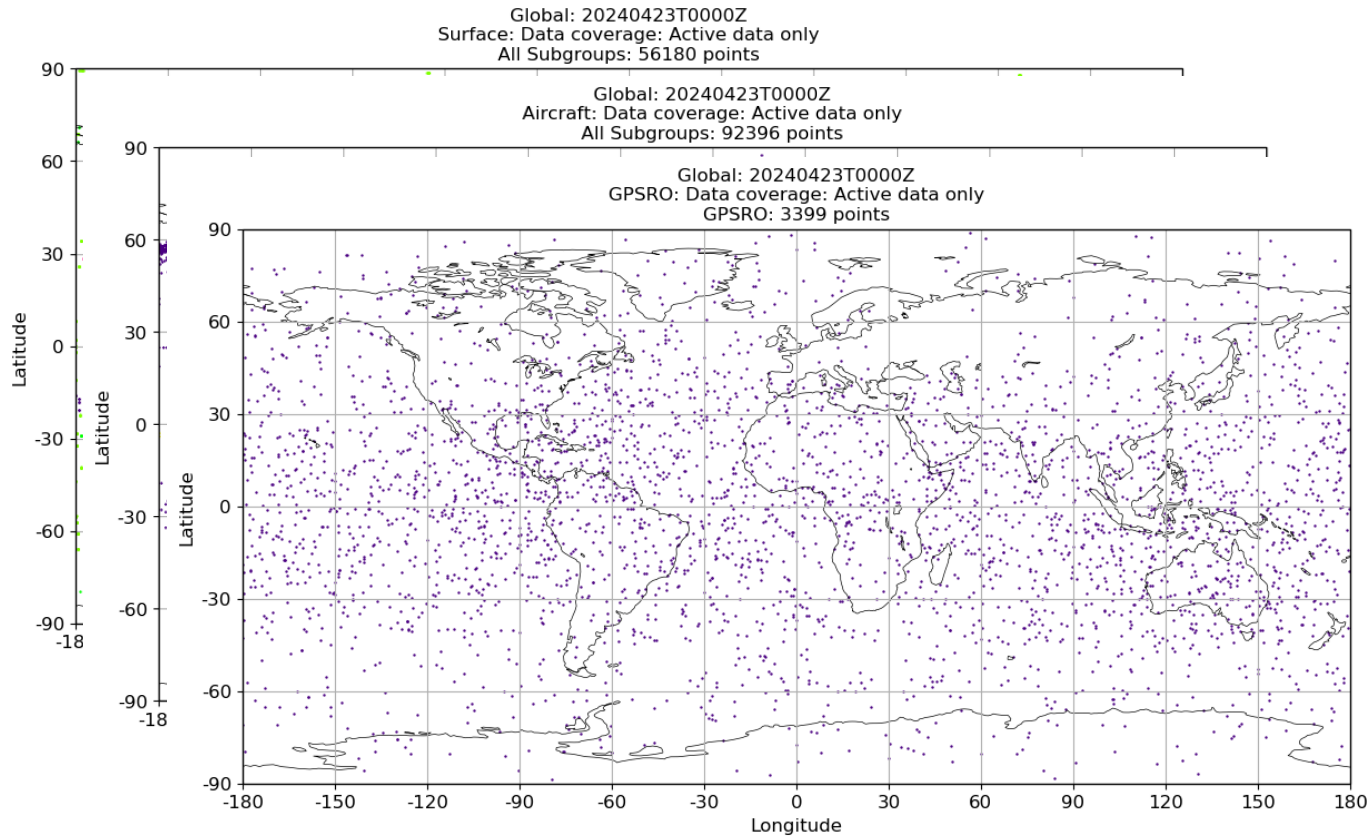
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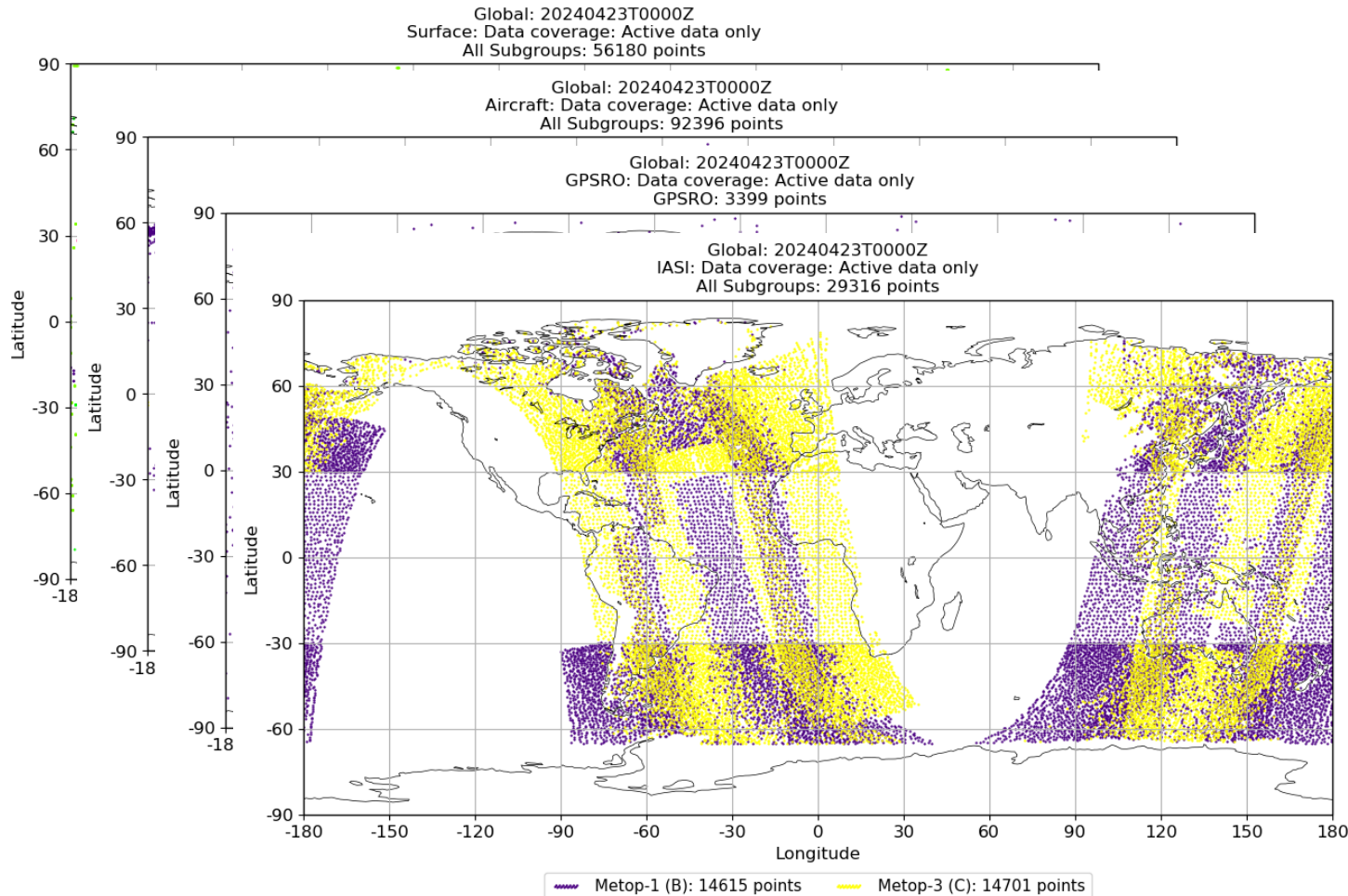
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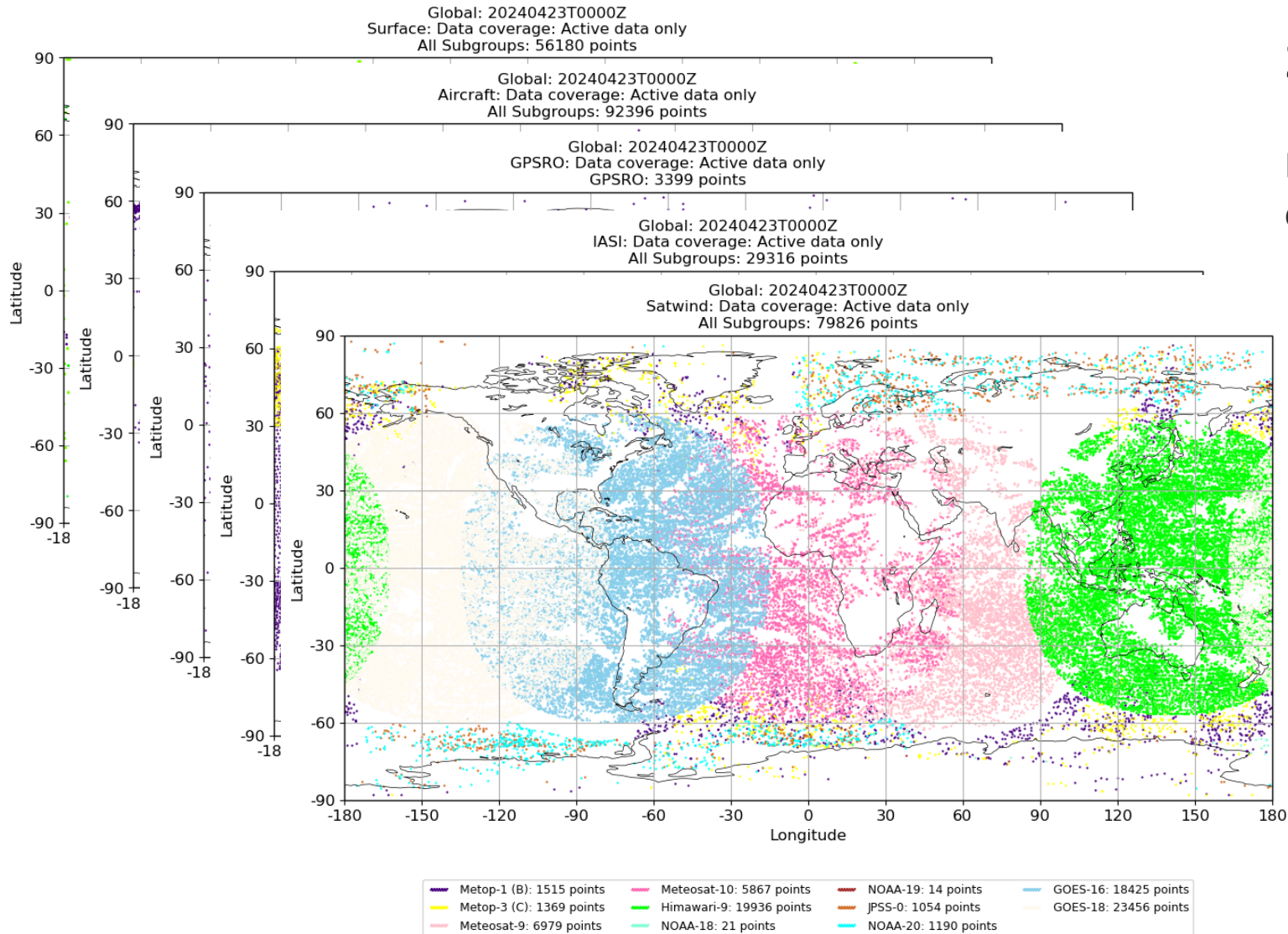


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# OPS

## Observation processing

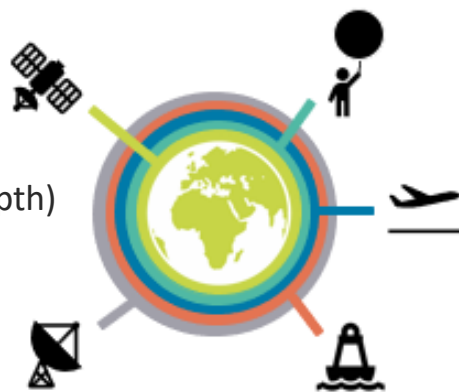
OPS processes in excess of 30,000,000 individual atmospheric observation locations in a 6-hour window. Each location can have multiple channels/levels.

### 21 atmospheric observation types

- Satellite radiances
- Satellite winds and active sensors
- Conventional and radar data
- Level 2 products (cloud, aerosol optical depth)

### 6 marine observation types

- Sea surface temperature (SST)
- Sea ice
- Ocean sounding and colour
- Altimeter



OPS carries out data selection, quality control (QC), error assignments, bias correction, 1D-Var, thinning, observation processing and the application of the observation operator.

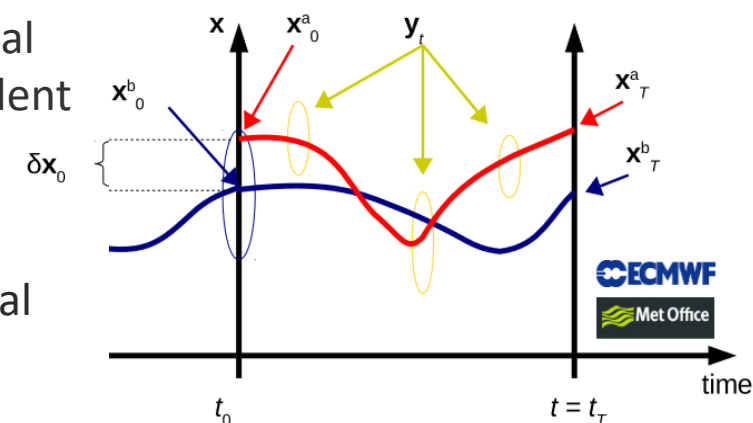
# VAR

## Data assimilation

Used to provide the initial conditions for numerical prediction in a range of components of the Earth System.

Global: hybrid incremental 4D-Var with flow-dependent background errors.

Limited area: continuous hourly-cycling incremental 4D-Var.



Make computationally quicker by:

- Solving a low-resolution variational problem
- Using control variable transforms
- Calculating observation term of the cost function separately for each observation.

# Next Generation Processing and Assimilation of Observations

# Our current systems OPS and VAR

## OPS

### Observation processing

OPS processes in excess of 30,000,000 individual observation locations in a 6-hour window. There are multiple channels/levels.

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### Data assimilation

### Current system...

In our current system, the logical chain of processing is “static”.

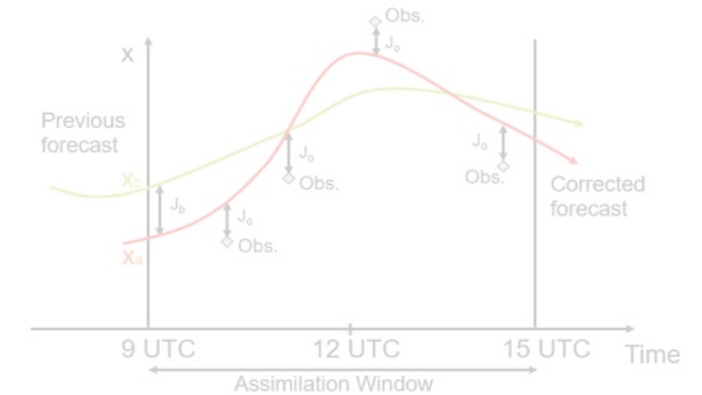
- land surface
- space weather.

The current global forecasting system uses a hybrid 4DVar assimilation scheme.

## VAR

combines observations with prior background, to produce an ‘optimal’ estimate of a particular system.

to provide the initial conditions for a forecast in a range of components of the



# The Joint Effort for Data assimilation Integration (JEDI) project

## JEDI

JEDI is a collaborative development between JCSDA partners to develop a unified data assimilation system:

- From toy models to Earth system coupled models
- Unified observation (forward) operators (UFO)
- For research and operations
- Share as much as possible without imposing one approach (one system, multiple methodologies/configurations)

## Motivation

### Changes in HPC landscape

Fully exploit future generations of supercomputers  
Scalability, efficient I/O  
Memory and novel parallelism in era of large (1000s) ensemble DA

### Technical

Increased modularity (more object-oriented capabilities)  
Expanded range of platforms (traditional HPC, cloud, laptop, etc)

### More complex science

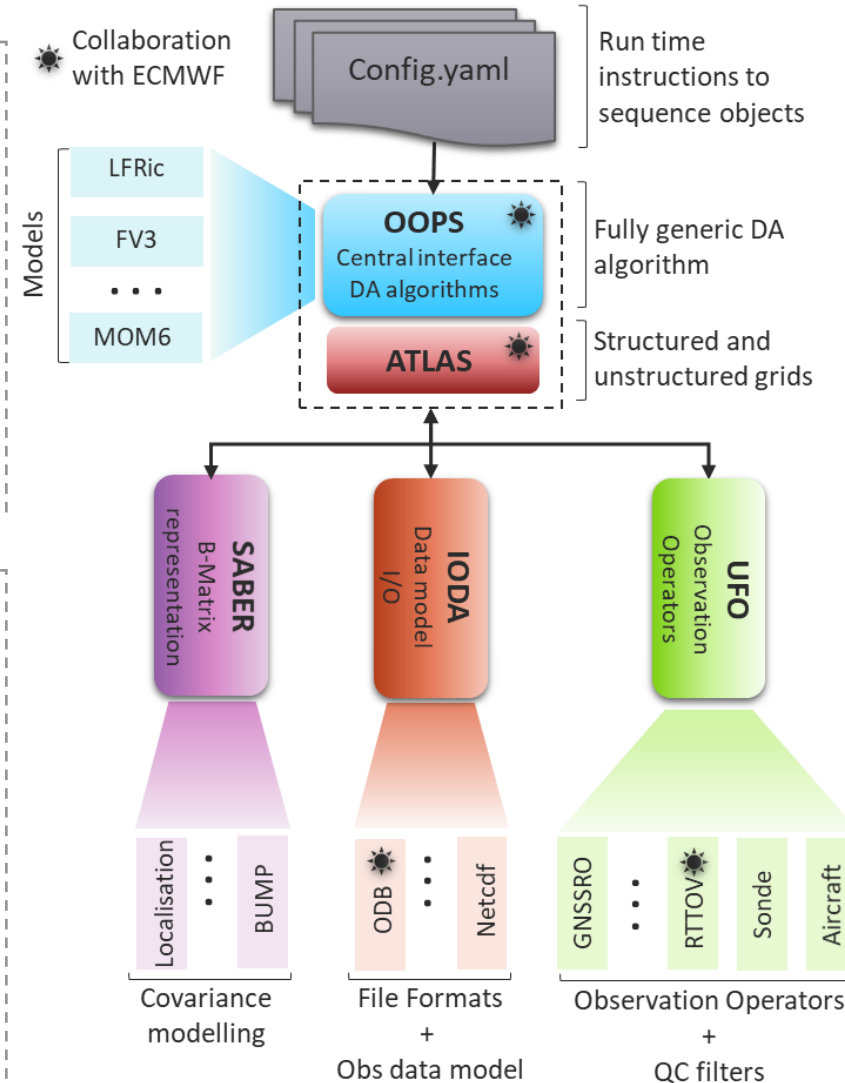
Flow-dependent QC, ensembles  
Strongly-coupled earth system DA, etc.

### Future Applications

Nowcasting, cloud analysis, city-scale DA, composition DA, multi-models, etc.

### Human

Current OPS/VAR too complex for wide-spread use  
Need to encourage wider collaboration (academic users, those working on other models)



# The Joint Effort for Data assimilation Integration (JEDI) project

JEDI

JEDI is a collaborative development between JCSDA partners to develop a unified data assimilation system:

→ From toy models to Earth system coupled models

New system

The logical chain of processing is applied **“dynamically”**. Code free of any science

Collection of bricks  
functions, methods,  
classes, procedures



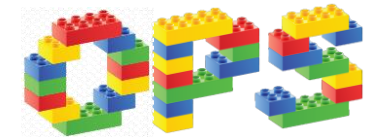
```

window_begin: 2018-04-14T21:00:00Z
window_end: 2018-04-15T03:00:00Z
LinearObsOpTest:
  coefTL: 0.1
  toleranceTL: 1.0e-13
  toleranceAD: 1.0e-11
Observations:
  ObsTypes:
  - ObsOperator:
    name: VertInterp
    VertCoord:
    air_pressure
    
```

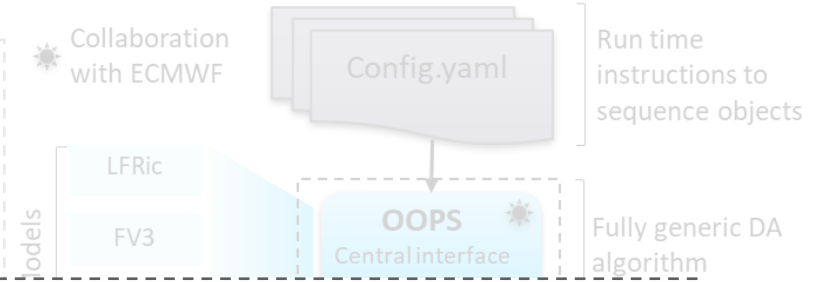
Configuration file

Instructions  
how to  
assemble the  
bricks.

Assemble the bricks  
using modern  
computation techniques



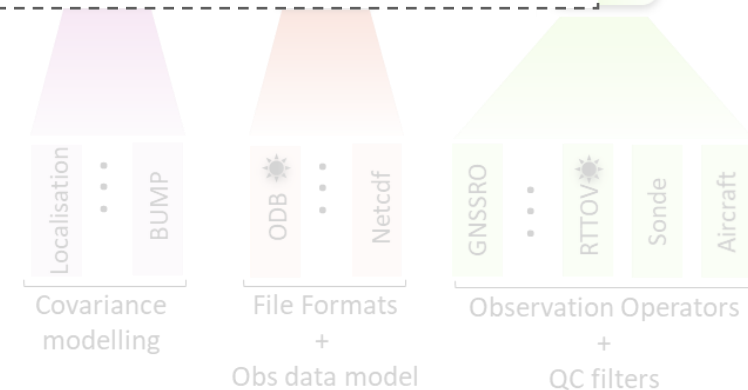
UFO



Mot

Chan  
lands

	Memory and novel parallelism in era of large (1000s) ensemble DA
Technical	Increased modularity (more object-oriented capabilities) Expanded range of platforms (traditional HPC, cloud, laptop, etc)
More complex science	Flow-dependent QC, ensembles Strongly-coupled earth system DA, etc.
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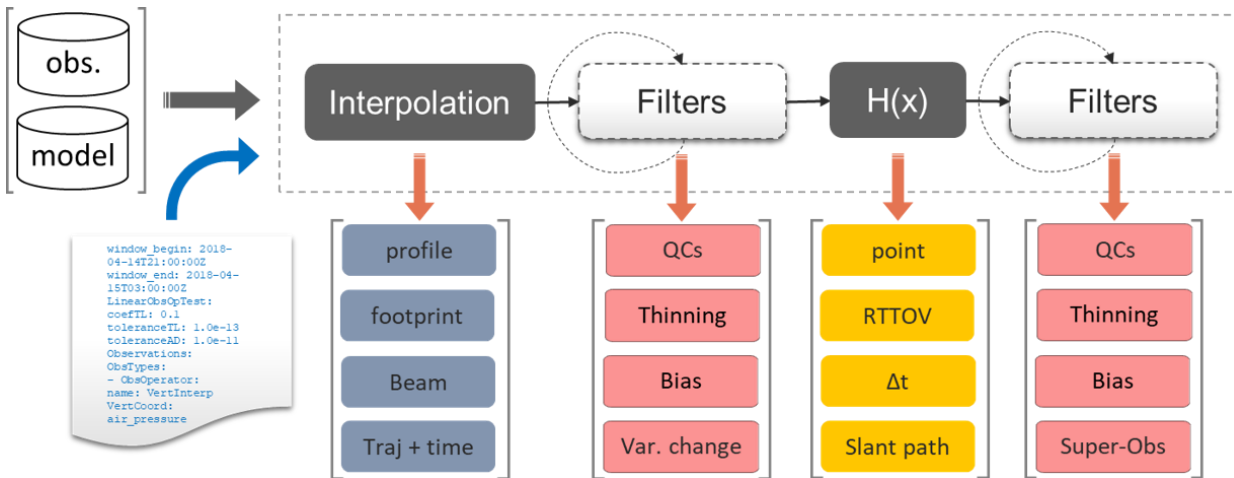


# Our new projects JOPA and JADA



## JEDI-based Observation Processing Application

Aim: Replicate our current observation processing for atmospheric and ocean data assimilation



New code in UFO for:

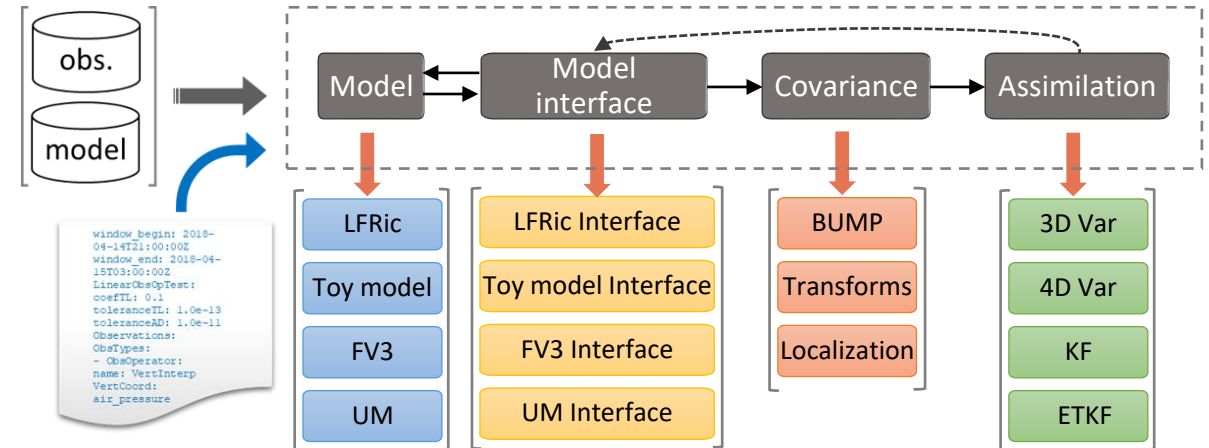
- Data selection
- Quality control
- Error assignments
- Bias correction
- 1D-Var
- Thinning
- Observation operators

All code validated against OPS to ensure the close match.



## JEDI-based Application for Data Assimilation

Aim: Develop new science and code to redesign our data assimilation capabilities and allow us to “put ensembles at the heart of everything we do”.



Start with an ensemble 3DVar then extend to 4DVar adding:

- Hybrid background error covariance
- Control-perturbation method
- Hybrid ensemble TLM
- Rapid update cycling

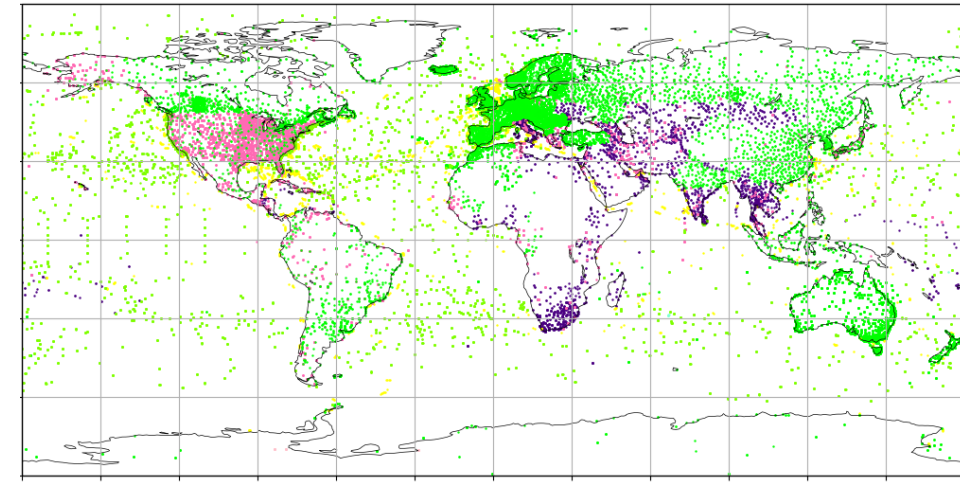
As well as developing the new DA system, JADA will provide:

- A model interface to connect LFRic to JEDI
- A testing suite to allow JADA/VAR comparison

# Surface-based observations

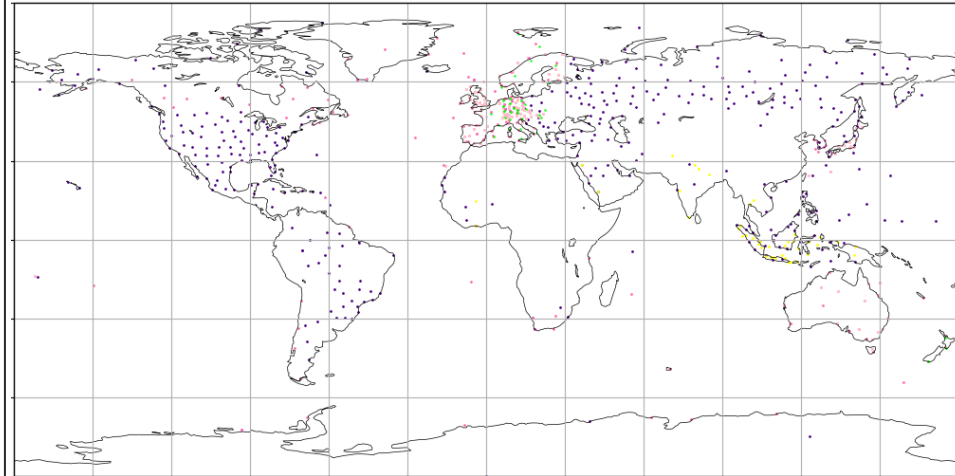


## Surface



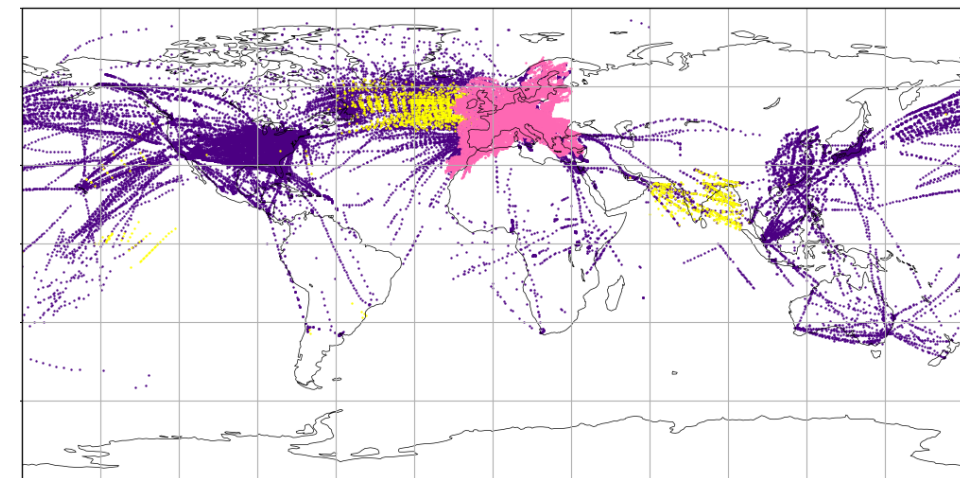
- Global and UKV
- 7 types
- 11 variables
- Only source of pressure observations

## Profile



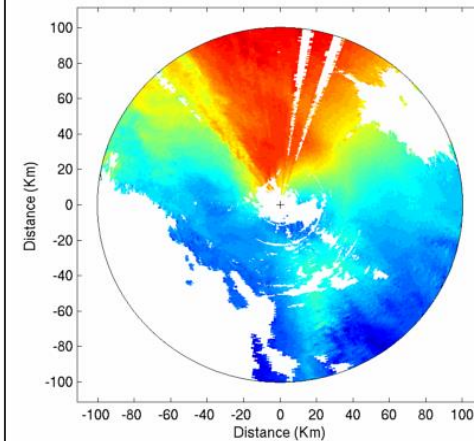
- Global and UKV
- 5 types
- 4 variables

## Aircraft

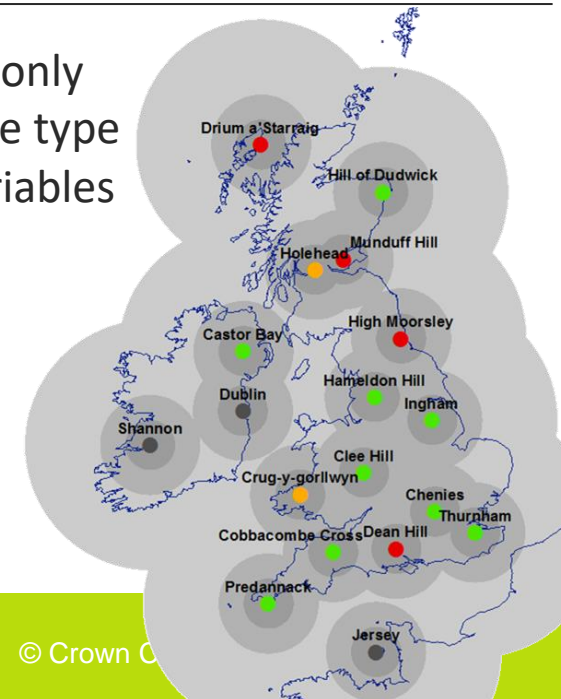


- Global and UKV
- 3 types
- 3 variables
- Dense data, but not evenly distributed

## Radar



- UKV only
- Single type
- 3 variables

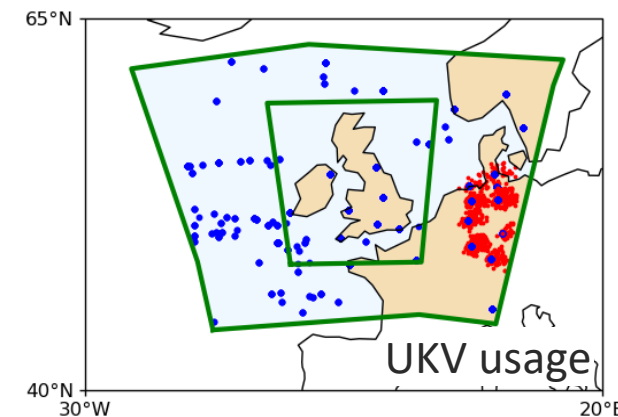
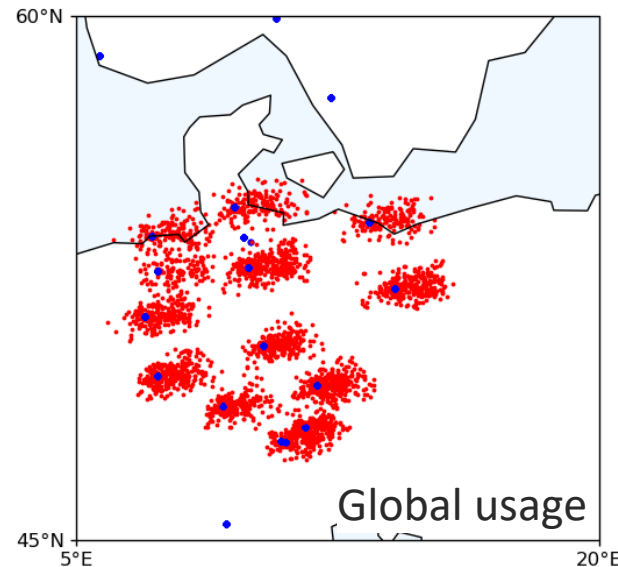
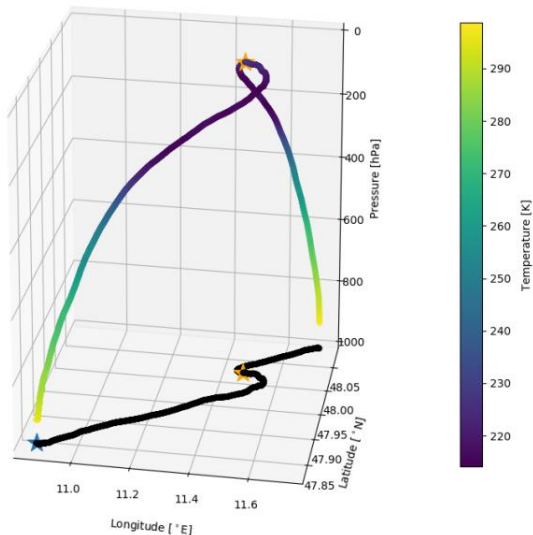


# Novel Observations

# Sonde descents

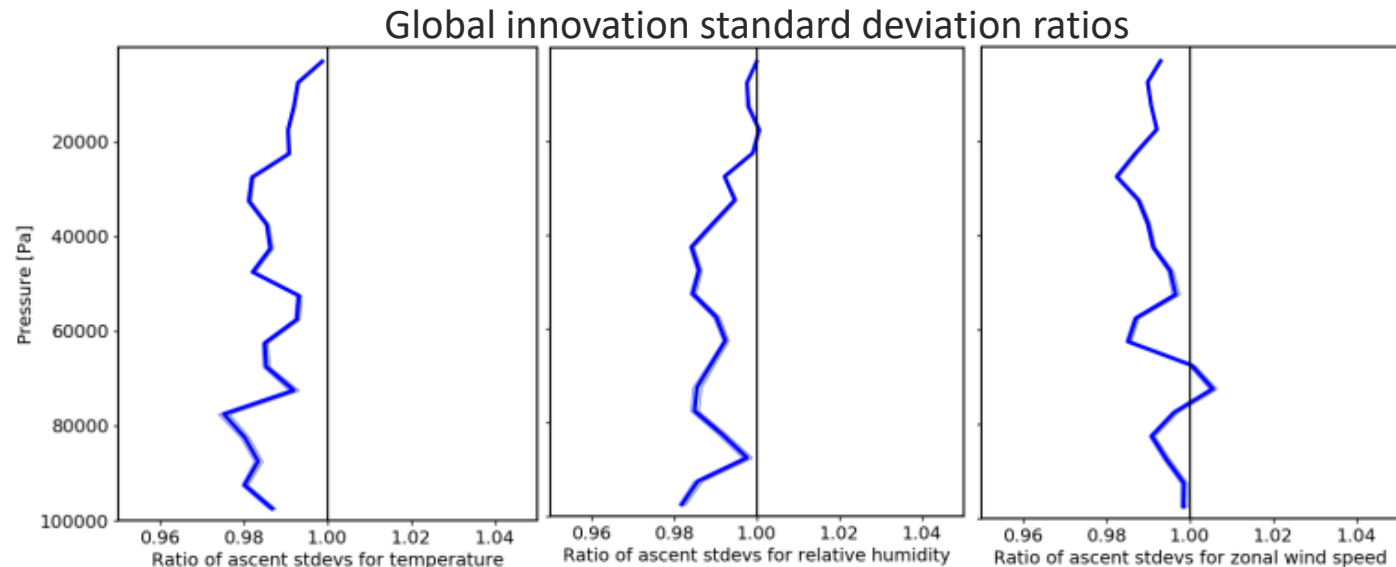
With thanks to Chris Thomas

- Descending radiosonde observations can provide additional atmospheric profile information at little cost.
- Assess the impact of assimilating descents in both the global and UKV models by running global and regional trials.
- Descents restricted to Germany, and reports below the stratosphere due to data quality.



- Radiosonde site (blue dot)
- Radiosonde descent (red dot)

- Examine innovations over full model region, and over Germany to assess impact of descent assimilation.
- Ratio of innovation standard deviations are predominantly  $< 1$  for all variables in both global and regional models suggesting assimilation quality is improved in this region.
- For global trial standard deviation of O-B innovations is improved for temperature and wind speed and remains mostly unchanged for relative humidity.
- In both systems the forecast impact is mostly neutral but there are improvements seen over Europe.
- Sonde descents now assimilated in both global and regional models. Plan to extend usage to other regions.



# Direct assimilation of reflectivity

With thanks to Lee Hawkness-Smith

Information from radar reflectivity observations, in the form of a surface precipitation product, has been incorporated into the UKV via latent heat nudging (LHN) for over 25 years.

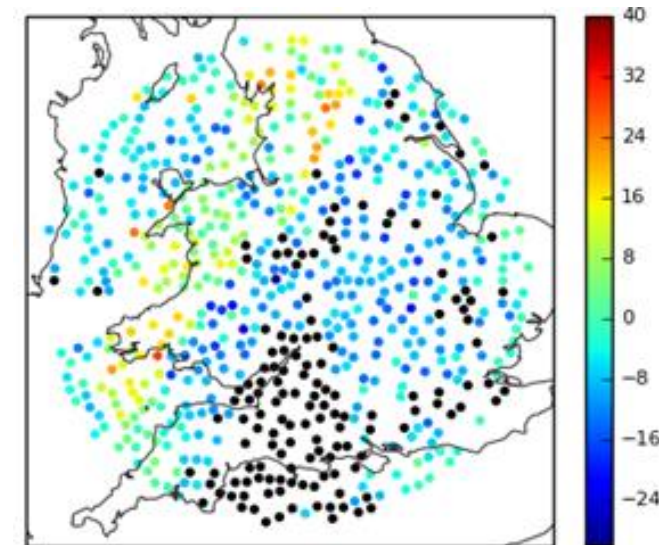
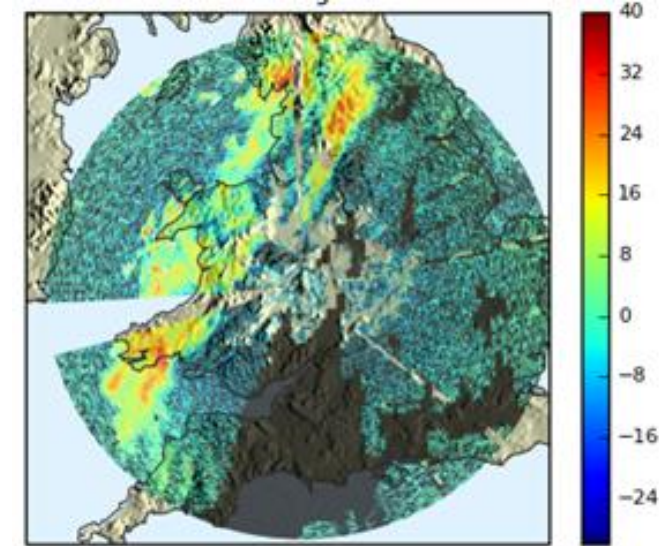
Determine if moving to direct assimilation of radar reflectivity observations is more beneficial than latent heat nudging.

## Quality control

- reject non-hydrometeorological echoes
- reject obs where background  $T < 3C$ , to avoid bright band melting layer.

## Super-Observation and Poisson thinning

- Super-Observation size:  $15^\circ$  by 15km
- Thinning: 15km for precip - 30km Dry obs.



## Observation Operator

- Operator uses interpolation to a point and a simple  $Z$ - $q_r$  relation for rain

$$Z_R = 1.63 \times 10^3 q_r^{7/4.0}$$

- Transform units to  $\sqrt{Z_R + 1}$ , this compresses the range and scale with the water mass.

## Observation error:

- 60  $[\sqrt{Z_R + 1}]$  for dry
- 30  $[\sqrt{Z_R + 1}]$  for precip.

## Assimilation Strategy

- 3 volumes scans (0, 15, 30 minutes)
- Both dry and wet observations are used.
- Initially apply for UK radar



## Observation Operator

- Operator uses interpolation to a point and a simple  $Z$ - $q_r$  relation for rain

$$Z_R = 1.63 \times 10^3 q_f^{7/4.0} = 4 \times 10^3 q_r^{2.1}$$

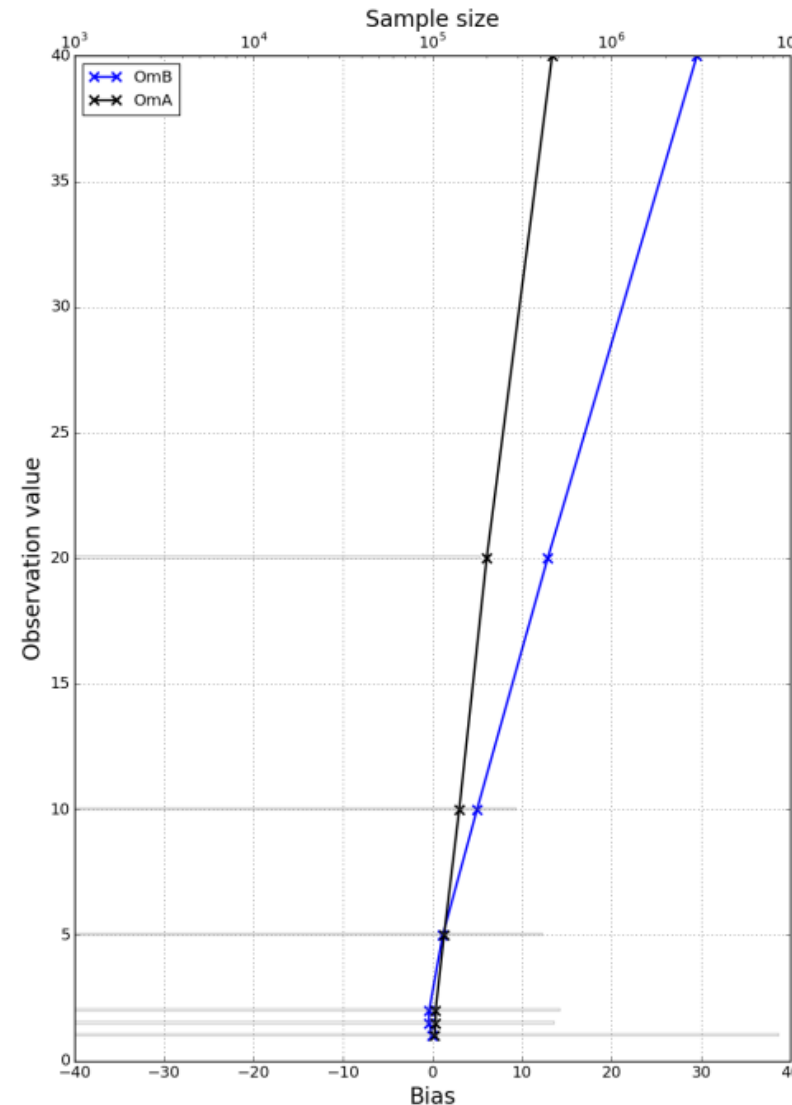
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Initial results suggested bias innovations and mis-specified observation error statistics, so operator and error variances were retuned.

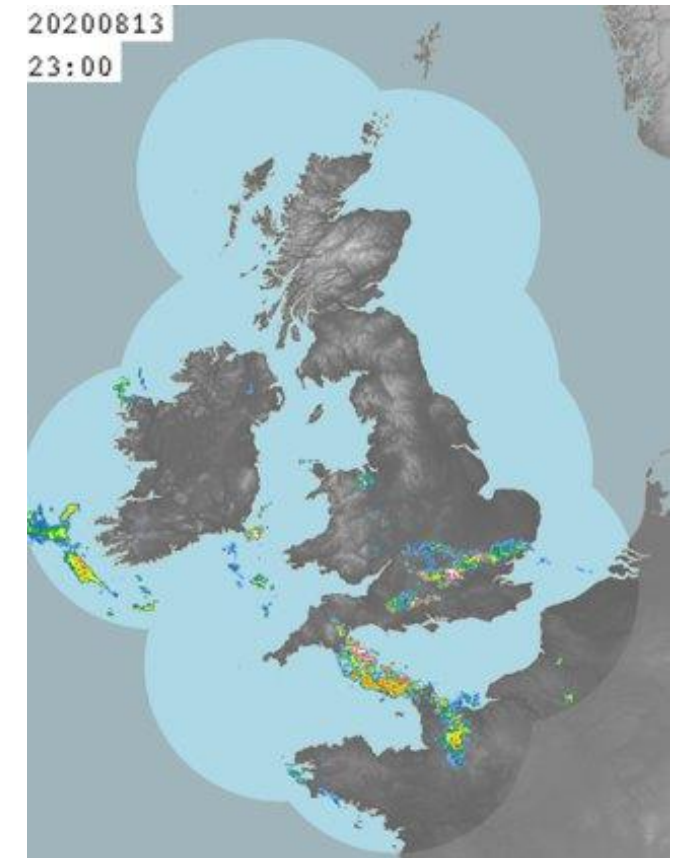
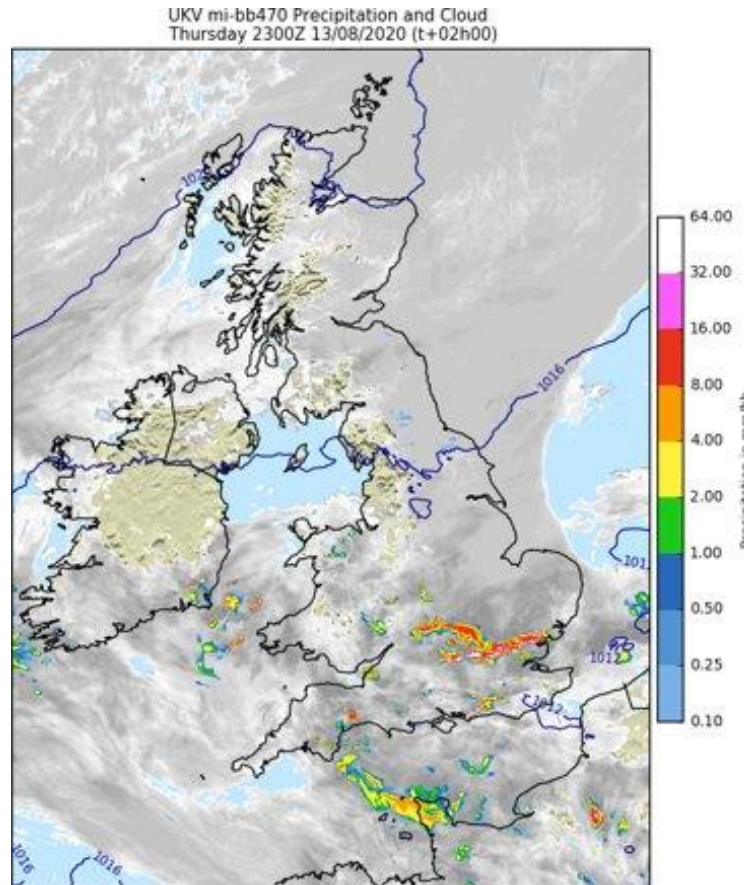
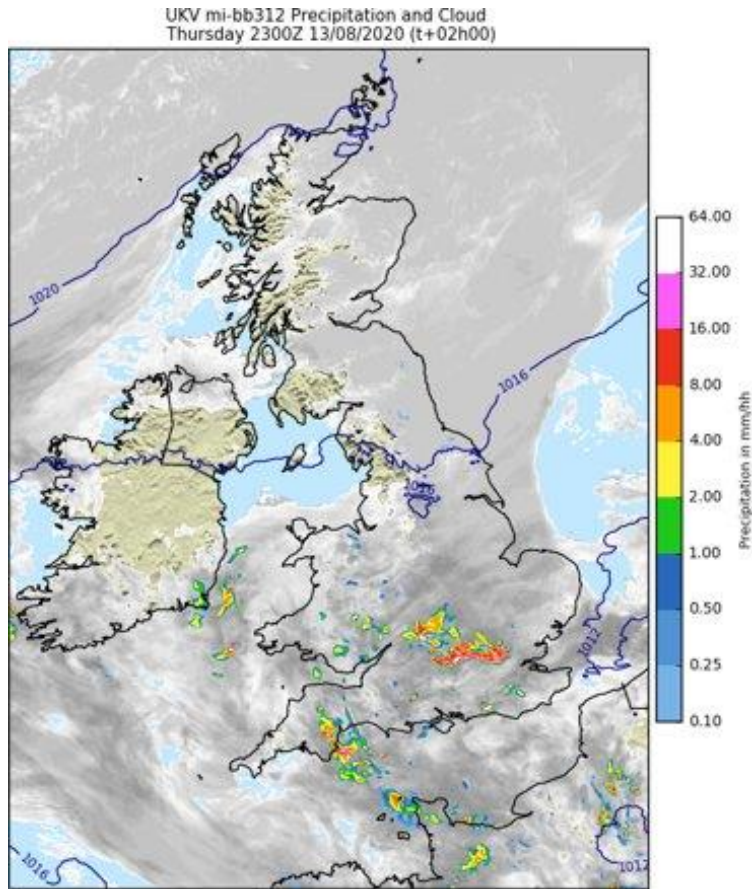
13 Aug 2020, T+2 2300

Improved organisation of bands of convection across southern England and Channel

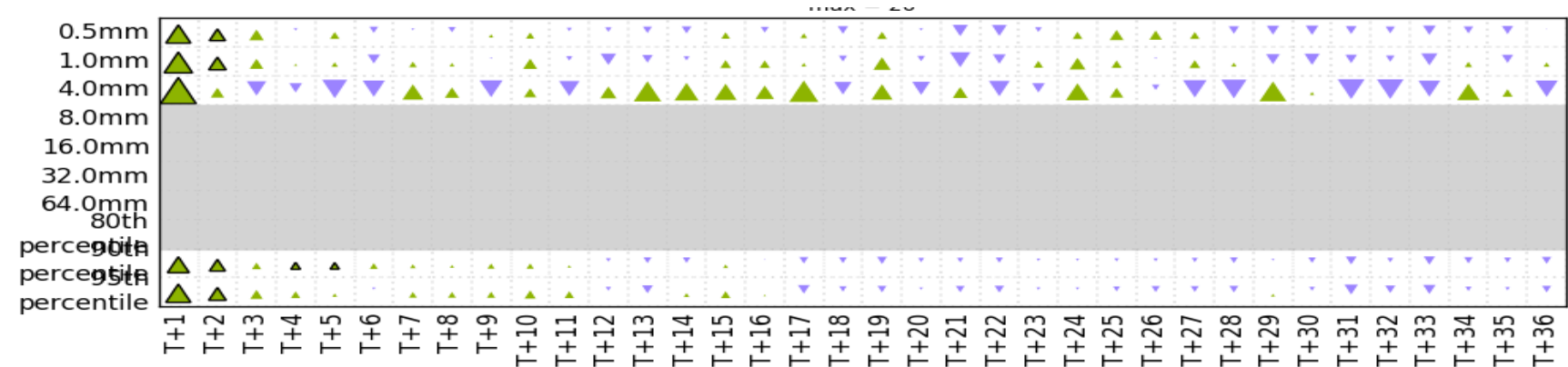
Control

Radar reflectivity experiment

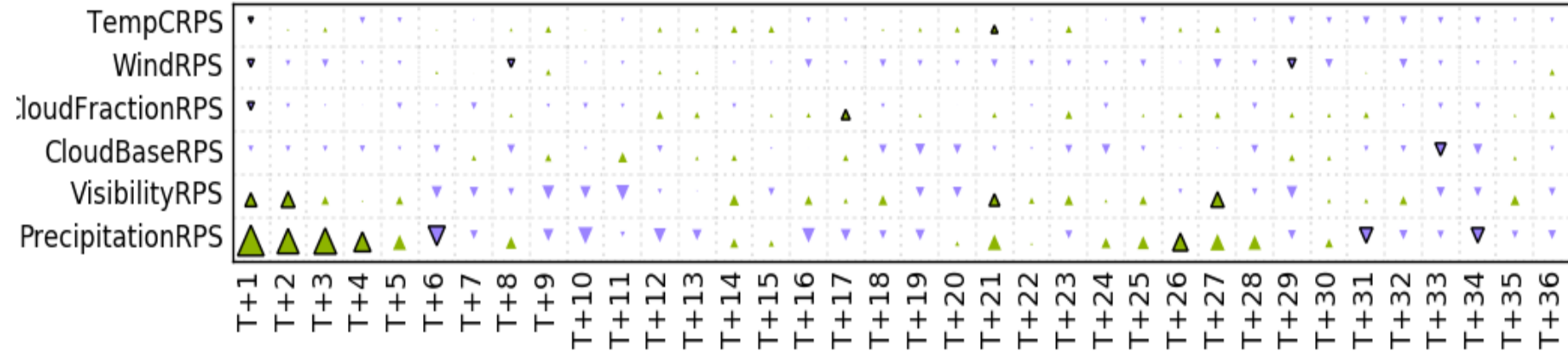
Radar composite



Improved Fractions Skill score at all thresholds in the first few hours.



Improved Precipitation Rank Probability Score, and neutral impact on other scores.



- Direct assimilation of radar reflectivity now operational for UK & Ireland radars.
- Extension to French and German radars planned for 2024

# Observation usage

# Roadside observations

- Data is recorded by approximately 700 automated roadside sites.
- Stations observe data at a frequency of 10 minutes.
- The move to hourly cycling 4D Var for the UKV provides an opportunity for observations to be assimilated at higher temporal frequency
- Assess the impact of increasing OpenRoad assimilation frequency from 60 minutes to 30, 20 or 10 minutes.

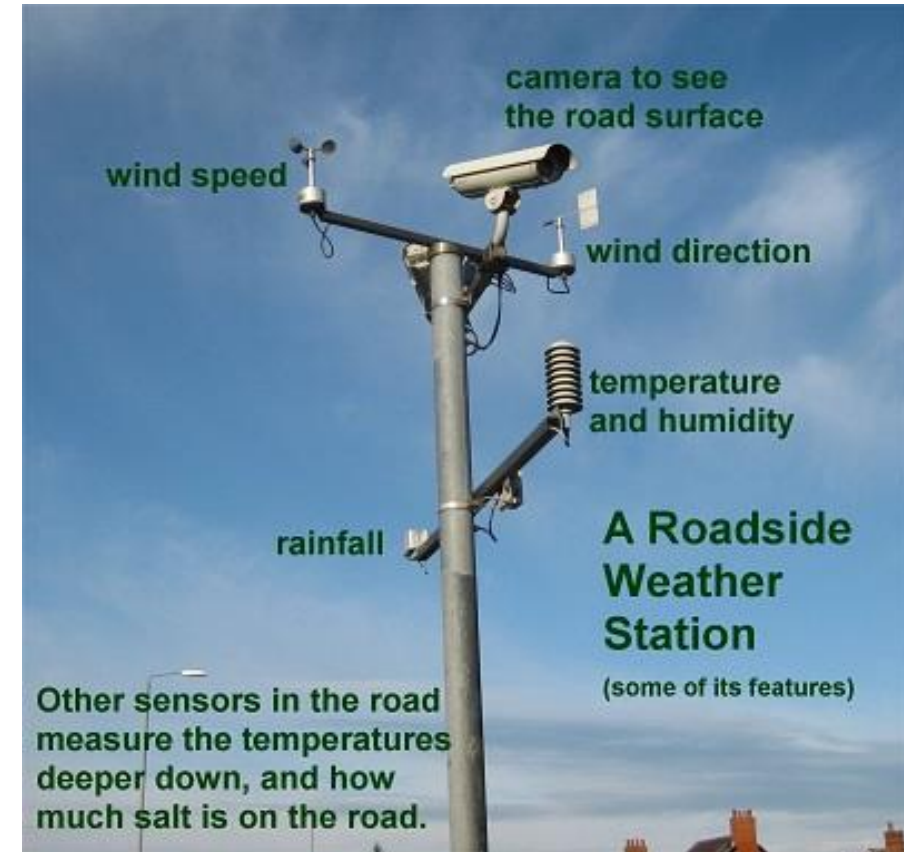
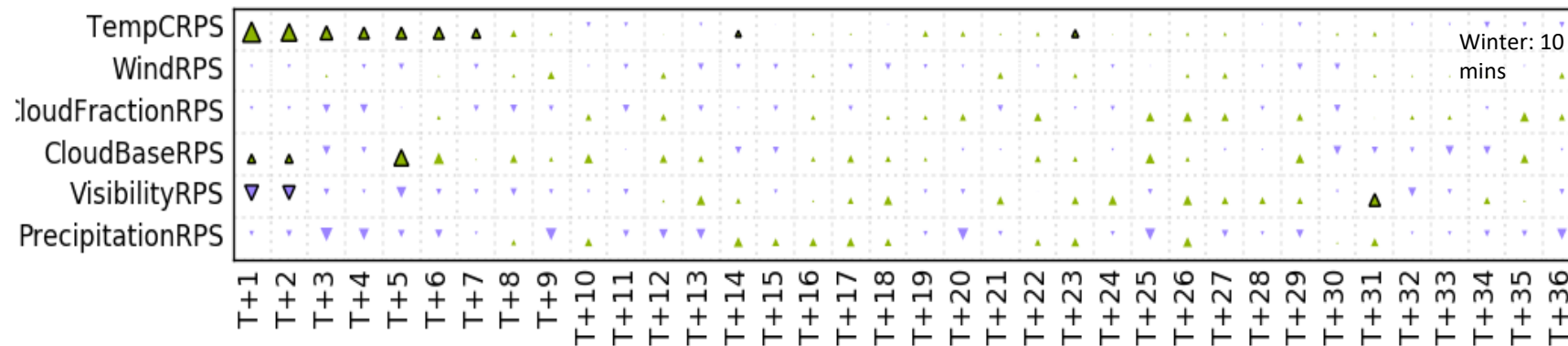
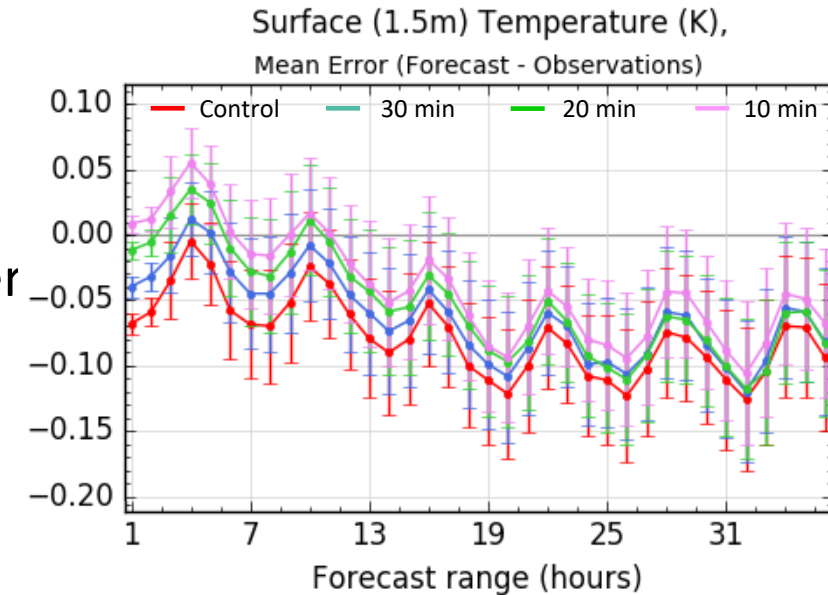


Image credit: <https://www.fleetpoint.org/road-building-repairs/highways-england/new-weather-stations-helping-to-keep-traffic-moving/>

Increasing the assimilation frequency of roadside data results in:

- Improved fit of analysis and background to surface observations (except log vis), slight degradation for other observations
- Reduction in temperature forecast bias for both summer and winter  
Impact neutral for other forecast variables.
- Significant improvement in temperature CRPS for until T+7.
- Impacts larger in winter than summer.
- Most benefit from 10 minute assimilation frequency which is now included in operational system.



	Cont.	30 min	20 min	10 min
Temp	1270	1563	1945	2814
RH	1121	1400	1764	2591
log vis	548	552	555	566

Average number of surface observations assimilated per cycle

# Correlated observation error statistics

With thanks to David Simonin



In data assimilation the observation error statistics consists of a measurement error and a representation error,  $R = E + F$ .

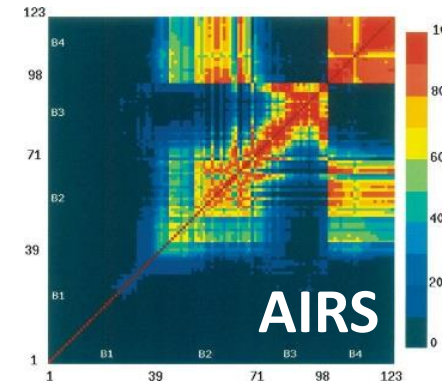
In practice to satisfy the current assumption of uncorrelated observation error:

- the observations are thinned,
- the error variances are inflated

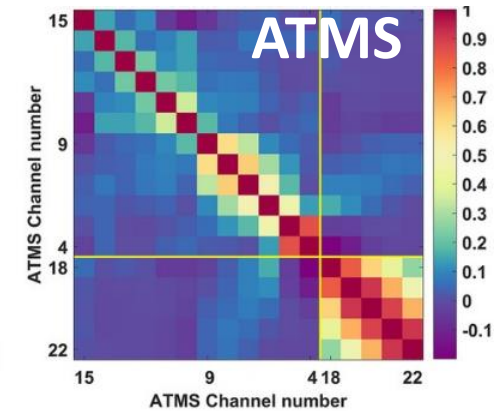
This reduces the observation usage to approximately 5%.

In global NWP, inter-channel error correlations are accounted for as the use leads to:

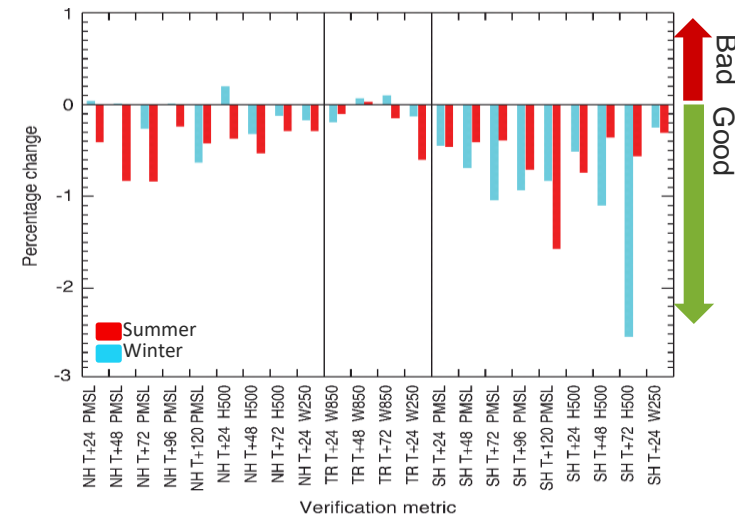
- Increase in the analysis accuracy.
- Improved fit of background to observations.
- Improvement in the forecast skill score.



Inter-channel error covariance matrix for AIRS  
[Figure from Garand et al 2007](#)



Inter-channel error covariance matrix for ATMS  
[Figure from Campbell et al. 2017](#)



Change in RMSE and weighted skill against observations when accounting for IASI correlated errors. [Figure from Weston et al. 2014](#)

Inter-channel error correlation success sparked interest in spatial error correlations.

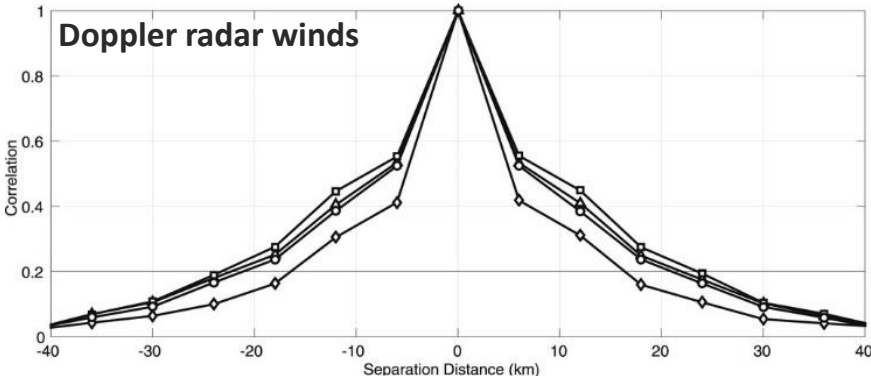


Figure from Waller et al. 2016b

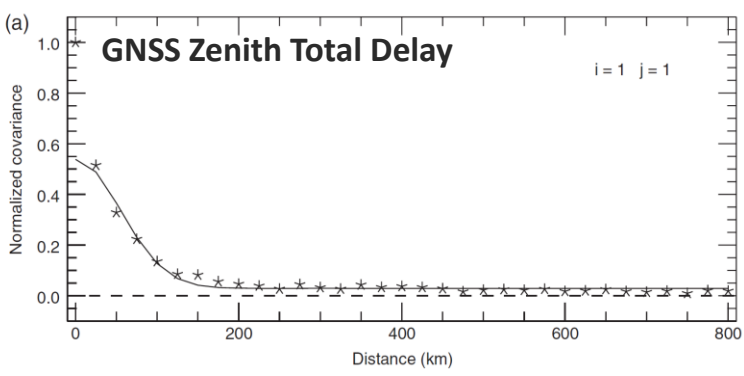


Figure from Macpherson & Laroche 2019

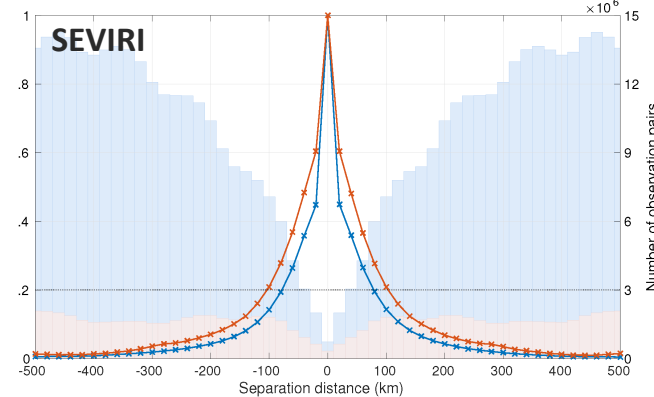


Figure from Waller et al 2016a

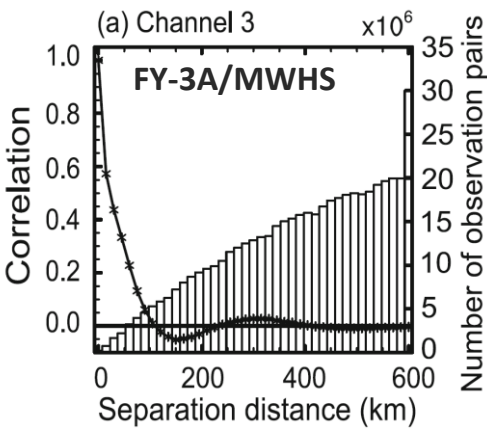


Figure from Wang et al. 2018

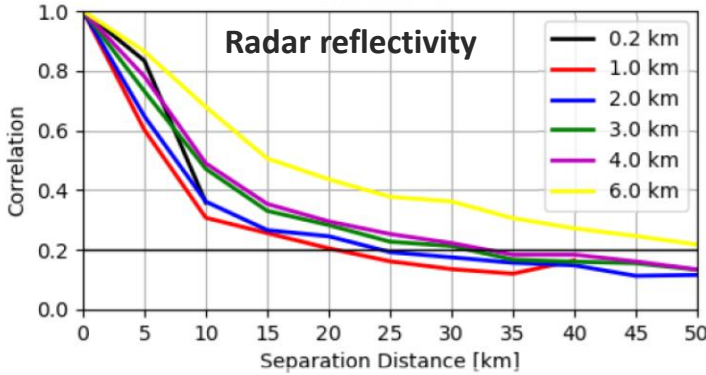


Figure from Zheng et al. 2021

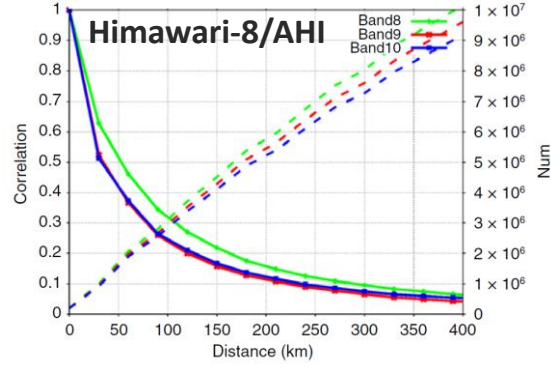


Figure from Okamoto et al. 2019

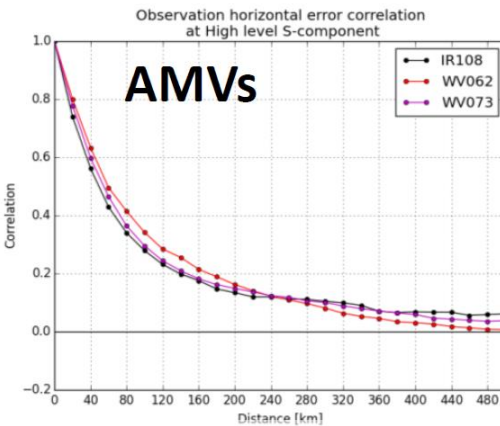


Figure from Cordoba et al. 2017

Can we efficiently account for them in assimilation?

- A family is a group of observations that have correlated errors.
- Instead of sending observations to processors based on location or equal distribution families are kept on single processors.
- The observation error covariance matrix is calculated on the fly for each assimilation cycle.

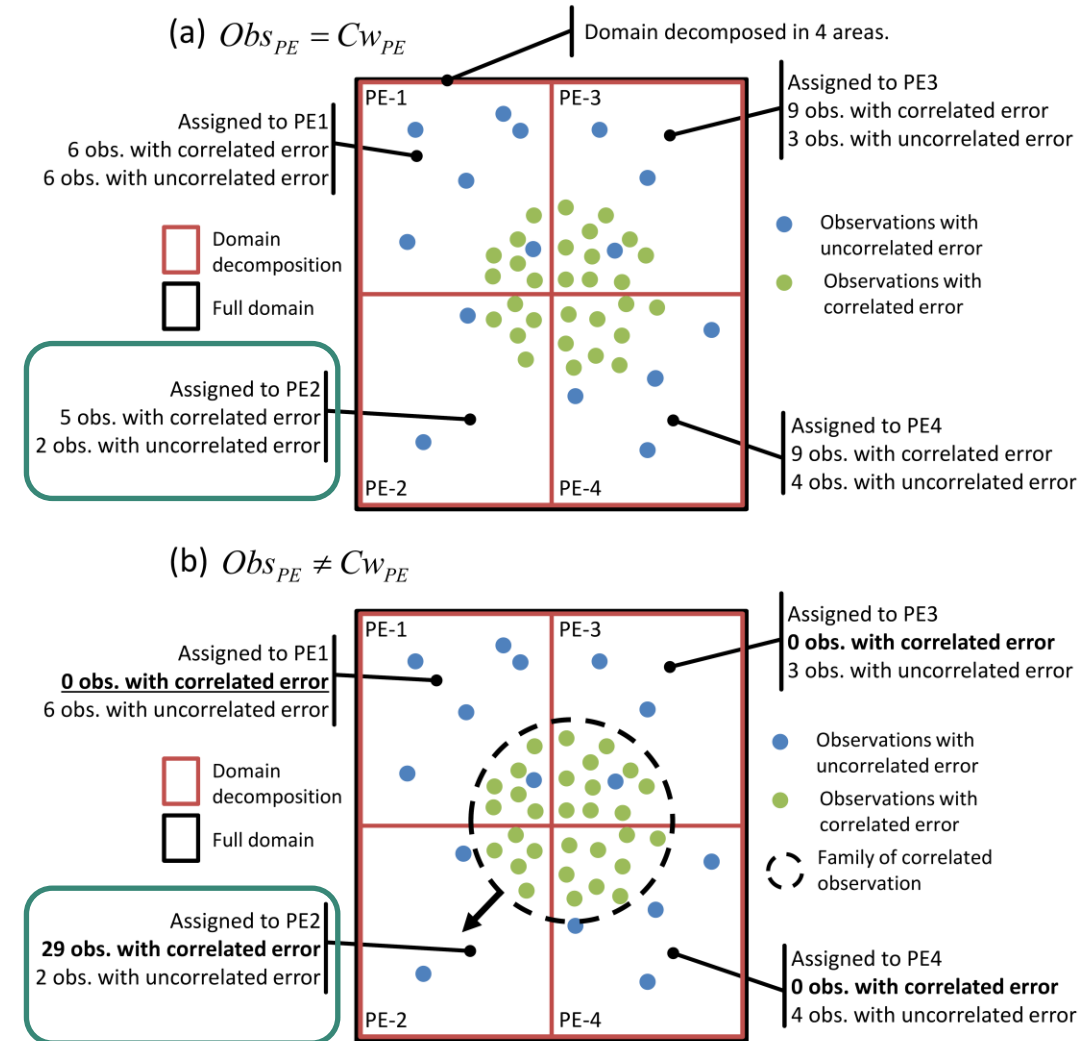
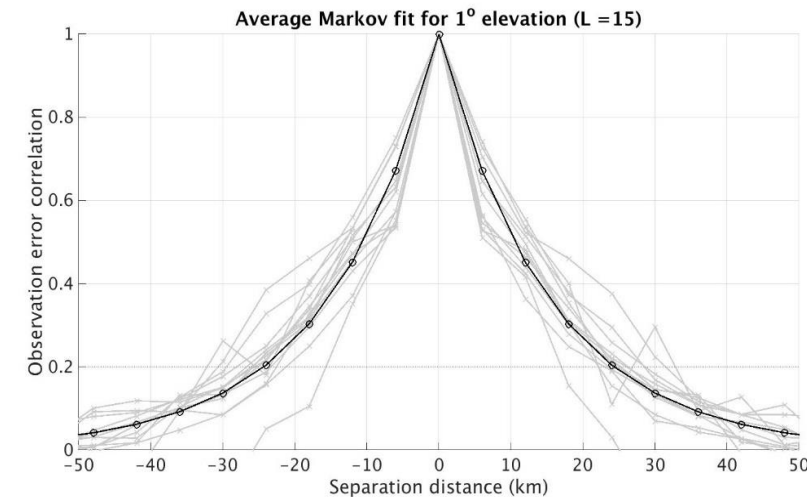
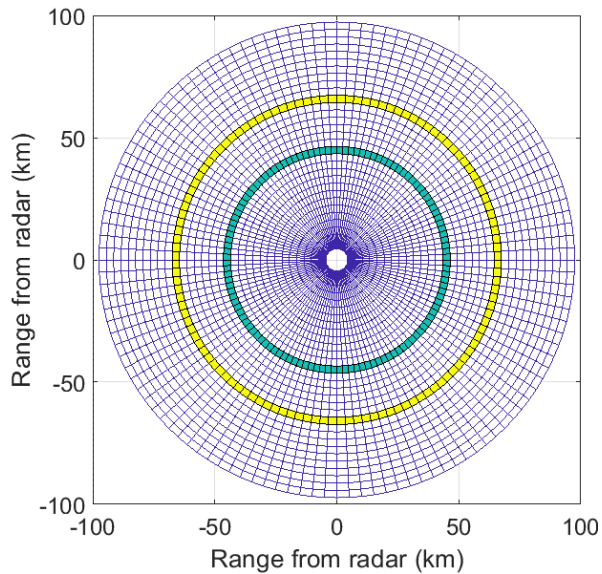


Figure from Simonin et al. 2019

Pros	Cons
Simple to apply - application of $R$ similar to inter-channel approach	Sets of observations with correlated errors must be sufficiently small.
$R$ used in assimilation is not further approximated.	Large correlation length scales may be costly to handle

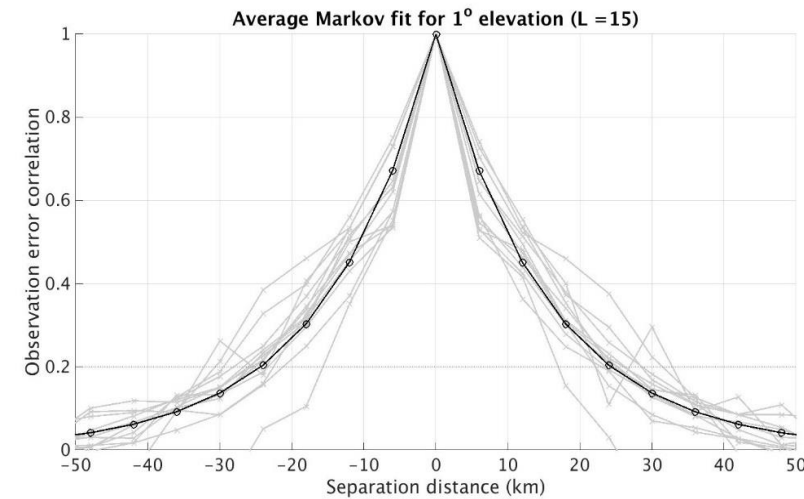
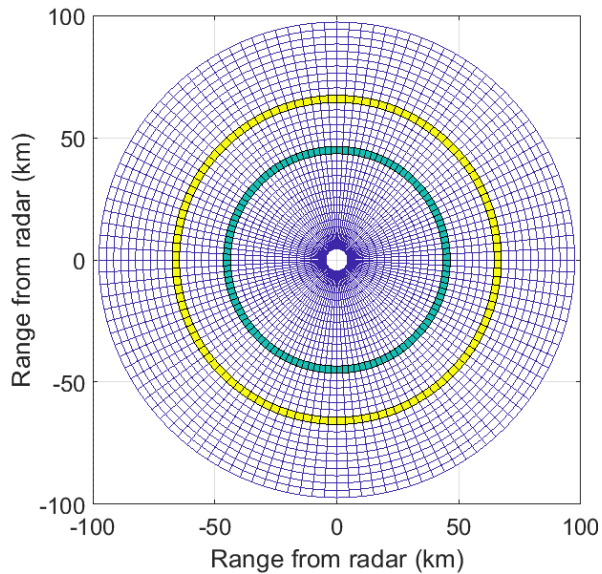
- UK radar network provides very high resolution observations that are under exploited in assimilation.
- Radar wind errors shown to have considerable correlation ([Waller et al. 2016b](#)).
- Use family method to include correlation and allow increased assimilation density ([Simonin et al. 2019](#)).



Doppler radar radial wind error correlations

Experiment	Doppler wind observation error matrix	Observation thinning distance
Control	Diagonal $R$ (Operational)	6 km (~2000 rad obs. per cycle)
Corr-R-6km	Correlated $R$	6 km (~2000 rad obs. per cycle)
Corr-R-3km	Correlated $R$	3 km (~8000 rad obs. per cycle)
Diag-R-3km	Diagonal $R$ (Operational)	3 km (~8000 rad obs. per cycle)

- UK radar network provides very high resolution observations that are under exploited in assimilation.
- Radar wind errors shown to have considerable correlation ([Waller et al. 2016b](#)).
- Use family method to include correlation and allow increased assimilation density [Simonin et al. 2019](#).



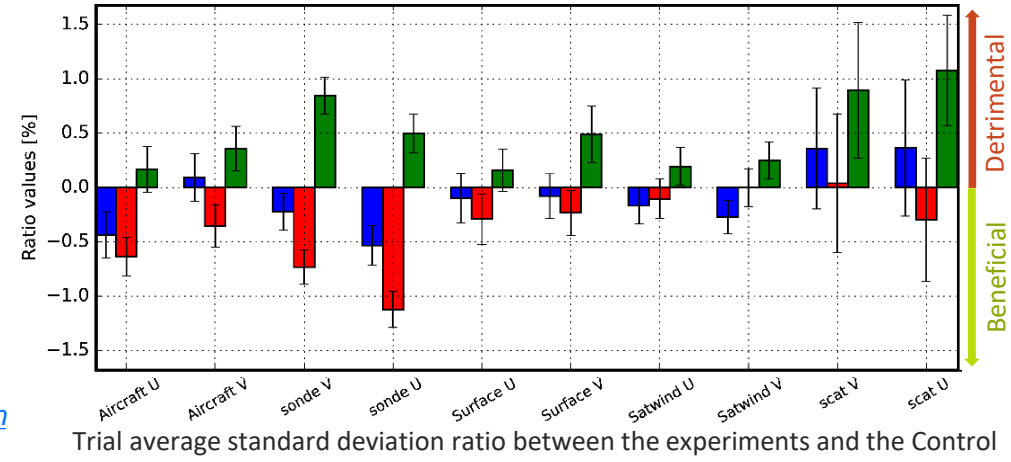
Doppler radar radial wind error correlations

Very important! Additional cost of using error correlations not significant.

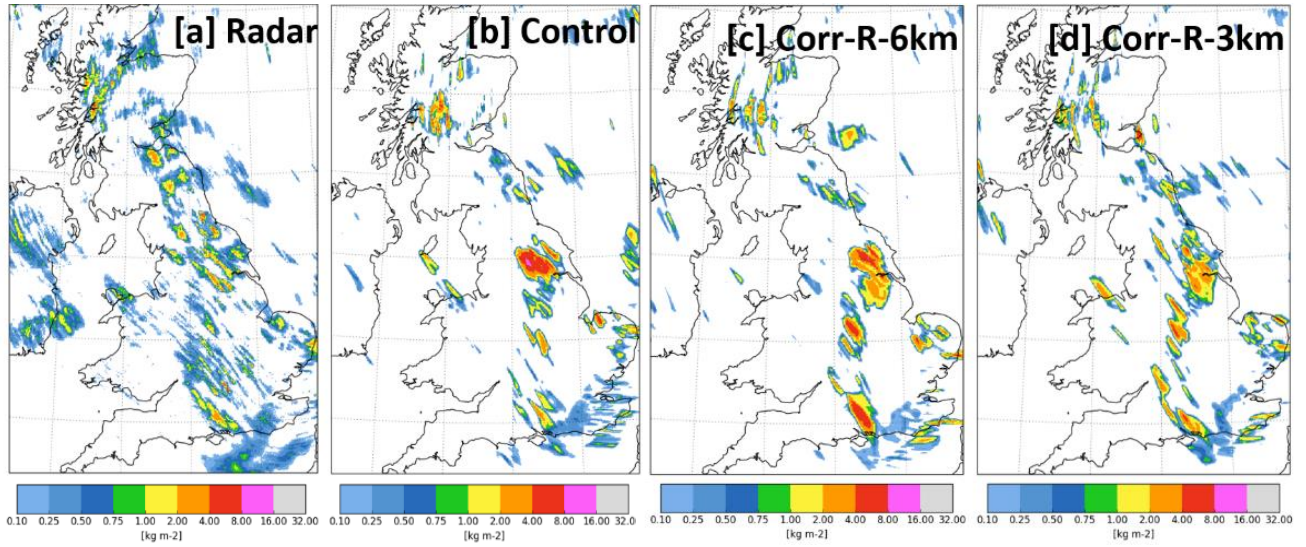


Experiment	Doppler wind observation error matrix	Observation thinning distance	Average time (s)
Control	Diagonal $R$ (Operational)	6 km (~2000 rad obs. per cycle)	272
Corr-R-6km	Correlated $R$	6 km (~2000 rad obs. per cycle)	293
Corr-R-3km	Correlated $R$	3 km (~8000 rad obs. per cycle)	288
Diag-R-3km	Diagonal $R$ (Operational)	3 km (~8000 rad obs. per cycle)	-

- Improved fit to observations when observations assimilated with correlated errors.
- Further when observation density is increased.
- Increasing observation density without accounting for error correlations is very detrimental to fit to observations.
- Precipitation forecast has more small-scale information in when assimilating observations with correlated errors.
- Radar observations now assimilated with correlated errors in regional model.



*Figures from Simonin et al. 2019*



Hourly accumulated precipitation forecasts for 1500 UTC on 7 April 2016 at T+3.

# Summary and conclusion

- Data assimilation is one of the contributors to the increase in forecast skill in recent decades.
- Operational DA is complex as it requires:
  - Complex processing of millions of heterogeneous observations.
  - Unification and communication between multiple observations and modelling systems.
  - An efficient and robust DA scheme that can ingest observations quickly.
- Next generation data assimilation systems are being developed that benefit from shared code and expertise.
- One of the challenges in DA is extracting the maximum amount of information from all the available observations. Forecasts have been improved by:
  - Introducing new observation types.
  - Making better use of existing observations.
- There are many upcoming challenges for operational data assimilation. For injecting small scale information into the analysis, accounting for correlated observation errors is crucial.





Thank you  
Any questions?